## IN THE UNITED STATES COURT OF APPEALS FOR THE EIGHTH Circuit

James Carson and Eric Lucero,

Plaintiffs-Appellants,

VS.

Steve Simon, in his official capacity as Minnesota Secretary of State,

Defendant-Appellee,

and

Robert LaRose, Teresa Maples, Mary Sansom, Gary Severson, and Minnesota Alliance for Retired Americans Education Fund,

Intervenor-Defendants-Appellees,

## ON APPEAL FROM THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MINNESOTA

## DEFENDANT-APPELLEE'S RESPONSE TO EMERGENCY MOTION FOR INJUNCTION PENDING APPEAL

Nathan B. Hansen (#0328017) 2440 Charles Street North, Suite 242 North St. Paul, MN 55109 (651) 704-9600 BAKER & HOSTETLER, LLP OFFICE OF THE MINNESOTA ATTORNEY GENERAL Jason Marisam (#0398187) Assistant Attorney General 445 Minnesota Street, Suite 1100

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Andrew M. Grossman David B. Rivkin Richard B. Raile Jenna M. Lorence Baker & Hostetler, LLP Washington Square, Suite 1100 1050 Connecticut Ave., N.W. Washington, D.C. 20036 (202) 861-1697

Attorneys for Plaintiffs-Appellants

St. Paul, MN 55101-2128 (651) 757-1275

Attorney for Defendant-Appellees

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#### **INTRODUCTION**

Appellants are asking for an extraordinary emergency injunction to change the existing rule on ballot timeliness in Minnesota, just days from election day. On August 3, a state court judge approved a consent decree establishing that, for the 2020 election, absentee ballots are timely if they are postmarked by election day, instead of received by election day. The Republican Party of Minnesota and Republican National Committee appealed to the Minnesota Supreme Court, but quickly dropped their appeal and waived their rights to challenge the consent decree in any forum.

Voting in Minnesota began on September 18. As of October 16, about 900,000 Minnesota voters have returned absentee ballots. More than 700,000 additional voters have requested absentee ballots that have not yet been returned and accepted. These ballots come with instructions explaining the postmark rule in place for this election. Appellants did not challenge the consent decree until nearly two months after it was entered. Now, mere days from election day, they seek an emergency injunction that would potentially disenfranchise thousands of voters relying on the ballot instructions.

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See Office of the Minnesota Secretary of State, Absentee Data, https://www.sos.state.mn.us/election-administration-campaigns/data-maps/absentee-data/. The numbers on the website are updated every Friday until the election.

Appellants' motion fails for five reasons. First, Appellants lack standing, as the district court explained in its two thorough orders below. Second, under the *Purcell* principle and laches doctrine, Appellants waited too long and brought this lawsuit too close to the election. Third, this Court should abstain from interfering with the state court judgment. Fourth, Appellants' claims are meritless. Fifth, the balance of harms weighs heavily against Appellants.

#### STATEMENT OF THE CASE

I. MINNESOTA'S ABSENTEE VOTING SYSTEM AND CERTIFICATION OF RESULTS.

#### A. Minnesota's Election Day Receipt Rule.

Early and absentee voting begins 46 days before election day, which was September 18, 2020, for the November 3 general election. Minn. Stat. § 203B.081, subd. 1. While a voter may apply for an absentee ballot up to one day before the election, Minnesota law states that absentee ballots must be received either by 3:00 p.m. (if hand-delivered) or 8:00 p.m. (if delivered by mail) on election day. Minn. Stat. § 203B.08 subd. 3; Minn. R. 8210.2200 subp. 1 (the "election day receipt rule"). Ballots received after these times are marked late and not counted.

#### **B.** The Counting of Absentee Ballots.

County ballot boards determine whether absentee ballots have been properly cast. Minn. Stat. § 203B.121. After the polls close on election day, the boards tally the accepted ballots, which are added to the in-person votes. *Id.*, subd. 5. The

totals are reported to a county canvassing board. Minn. Stat. §§ 204C.19, 204C.31 subd. 3. The county canvassing boards meet to certify county results between three and ten days after the election. Minn. Stat. § 204C.33 subd. 1. The county boards transmit their certified results to the Secretary of State. *Id.* On the third Tuesday following election day, the State Canvassing Board meets to certify the official statewide results and declare the winners. *Id.*, subd. 3.

#### C. The Presidential Electors and the "Safe Harbor" Date.

In a presidential election, the final results determine the state's electors for the Electoral College. Minn. Stat. §§ 208.02-.05. The electors chosen by the party that receives the most votes must cast their electoral votes for their party's presidential nominee. Minn. Stat. § 208.43. Congress generally must accept the votes of a state's electors if they are certified at least six days before the meeting of the Electoral College, often called the "safe harbor" date, which is December 8 this year. 3 U.S.C. § 5.

#### D. The Secretary of State's Authority.

The Secretary of State is a constitutional officer and Minnesota's chief elections officer. Minn. Const. art. VII, § 8; *Clark v. Pawlenty*, 755 N.W.2d 293, 299 (Minn. 2008). He has the authority to prescribe rules for the receipt of absentee ballots, in addition to those set by the legislature. Minn. Stat. § 203B.08 subd. 4. When provisions of Minnesota's election laws cannot be implemented "as

a result of an order of a state or federal court," the Minnesota legislature has directed that the Secretary "shall adopt alternative election procedures to permit the administration of any election affected by the order. The procedures may include the voting and handling of ballots cast after 8:00 p.m. as a result of a state or federal court order." Minn. Stat. § 204B.47.

#### II. PROCEDURAL HISTORY.

#### A. LaRose v. Simon.

On May 13, 2020, a group of plaintiffs filed suit against Secretary Simon in state court. They sought to enjoin enforcement of two Minnesota election laws: Minnesota's election day receipt rule and the requirement that a witness certify an absentee ballot. *LaRose v. Simon*, No. 62-CV-20-3149, Minn. 2d Judicial Cir., County of Ramsey. The plaintiffs challenged these laws generally and as applied during the Covid-19 pandemic.

After arms-length negotiations, the plaintiffs and the Secretary entered into a consent decree for the August 11 primary. *See* Marisam Decl., Ex. A.<sup>2</sup> It provided that the witness requirement is unenforceable and, most relevantly, established a postmark rule, under which ballots are timely if postmarked by election day. *Id.* Judge Grewing signed the consent decree on June 17. *Id.* Local election officials

<sup>&</sup>lt;sup>2</sup> The Declaration of Jason Marisam, and all of the referenced exhibits (A to E), were filed at the district court and are attached to this response as Exhibit A.

implemented the required changes, and the election was held with no significant problems, despite record-level turnout. *See* Tim Harlow, *More than 100,000 voters cast ballots in primary in Minneapolis*, Star Trib. (Aug. 12, 2020).

After plaintiffs filed for an injunction as to the November 3 general election, the parties again negotiated a consent decree, which they filed on July 17. Marisam Decl., Ex. B. Similar to the primary election, this consent decree provides that the witness requirement is suspended for the election and that ballots postmarked by election day, and received within seven days, are timely.

As to the election day receipt rule, the consent decree establishes:

For the November General Election Defendant shall not enforce the Election Day Receipt Deadline for mail-in ballots, as set out in Minn. Stat. §§ 203B.08 subd. 3, 204B.45, and 204B.46 and Minn. R. 8210.2200 subp. 1, and 8210.3000, that ballots be received by 8:00 p.m. on Election Day if delivered by mail. Instead, the deadline set forth in paragraph VI.D below shall govern.

. . .

Defendant shall issue guidance instructing all relevant local election officials to count all mail-in ballots in the November General Election that are otherwise validly cast and postmarked on or before Election Day but received by 8 p.m. within 5 business days of Election Day (i.e., seven calendar days, or one week). For the purposes of this Stipulation and Partial Consent Decree, postmark shall refer to any type of imprint applied by the United States Postal Service to indicate the location and date the Postal Service accepts custody of a piece of mail, including bar codes, circular stamps, or other tracking marks. Where a ballot does not bear a postmark date, the election official reviewing the ballot should presume that it was mailed on or before Election Day unless the preponderance of the evidence demonstrates it was mailed after Election Day.

*Id.* at 9-10.

The consent decree contains undisputed stipulated facts justifying these changes. *Id.* at 3-4 (a surge in absentee voting due to the pandemic "threaten[s] to slow down the process of mailing and returning absentee ballots" and "[m]ail deliveries could be delayed by a day or more" due to Covid-19).

The district court heard argument on the consent decree on July 31. By that time, the Republican Party of Minnesota, the Republican National Committee, and the National Republican Congressional Committee had moved to intervene and participated in the arguments. On August 3, the court signed the consent decree and entered an accompanying order explaining the decision and why plaintiffs were likely to succeed on the merits. Marisam Decl, Ex. C. The court found that the requirements of the Minnesota Constitution will be carried out by the implementation of the decree. Marisam Decl., Ex. B at 6.

On August 10, the intervening parties appealed directly to the Minnesota Supreme Court, which granted their petition for accelerated review. *LaRose v. Simon*, A20-1040, Minn. Sup. Ct. (Aug. 12, 2020 PFR Grant). On August 13, the U.S. Supreme Court issued an order rejecting a challenge to a similar consent decree in Rhode Island. *Republican National Committee v. Common Cause of Rhode Island*, Sup. Ct. Docket 20A28, 591 U.S. (Aug. 13, 2020 order in pending case) (Marisam Decl., Ex. E). The Republican Party of Minnesota, the Republican National Committee, and the National Republican Congressional Committee

responded by voluntarily dismissing their appeal of the Minnesota consent decree and waiving their rights to challenge it in any other forum. Marisam Decl., Ex. D. The Minnesota Supreme Court dismissed the appeal, on August 18, based on this stipulation of dismissal and waiver of rights. *Id*.

On August 28, the Secretary of State's Office, pursuant to the consent decree, sent absentee ballot instructions to local election officials. In large letters, these instructions inform voters: "Your returned ballot must be <u>postmarked on or before</u> Election day (November 3, 2020) & received by your Absentee Voting Office within 7 days of the election . . . to be counted." Maeda Decl., Ex. A.<sup>3</sup> Voters began receiving ballots with these instructions on September 18, when absentee and early voting began in Minnesota. Maeda Decl., ¶ 3. The Secretary's Office also posted information about the postmark rule on its website.<sup>4</sup>

As of Friday, October 16, nearly 1.7 million mail or absentee ballots have been requested. About 900,000 of these have been returned and accepted.

<sup>3</sup> The Declaration of David Maeda, and accompanying exhibit, was filed at the district court and is attached to this response as Exhibit B.

<sup>&</sup>lt;sup>4</sup> See Office of the Minnesota Secretary of State, Vote Early by Mail, https://www.sos.state.mn.us/elections-voting/other-ways-to-vote/vote-early-by-mail/.

However, more than 700,000 absentee ballots have been requested but not yet returned and accepted.<sup>5</sup>

#### B. Carson v. Simon.

Nearly two months after state court approval of the consent decree, Appellants brought this challenge. On September 24, they moved for a preliminary injunction. On October 12, after full briefing and a hearing, the district court judge issued a 38-page order denying the motion because Appellants lack standing. That same day, Appellants appealed and filed a motion for an injunction pending appeal with the district court. On October 15, Appellants filed an emergency motion for an injunction pending appeal with this Court. On October 16, the district court issued a 16-page order denying the motion pending appeal.

#### **LEGAL STANDARD**

Appellants must clear multiple hurdles before the Court addresses the merits of their motion. First, Appellants must demonstrate standing. Second, they must demonstrate why, by waiting nearly two months to challenge the consent decree and bringing this suit so close to election day, they did not forfeit their chances of an injunction under the Supreme Court's *Purcell* principle and the equitable

<sup>&</sup>lt;sup>5</sup> See Office of the Minnesota Secretary of State, Absentee Data, https://www.sos.state.mn.us/election-administration-campaigns/data-maps/absentee-data/.

doctrine of laches. *See Purcell v. Gonzalez*, 549 U.S. 1 (2006). Third, they must demonstrate why the Court shouldn't abstain from interfering with the state court judgment.

Even if Appellants clear those hurdles, emergency injunctive relief is an extraordinary remedy. This Court "will reverse a decision to deny a preliminary injunction only if the district court has abused its discretion." *Mgmt. Registry, Inc.* v. A.W. Companies, Inc., 920 F.3d 1181, 1183 (8th Cir. 2019). The Court considers four factors: (1) the threat of irreparable harm to the movant, (2) the balance of harm among the parties, (3) the probability of success on the merits, and (4) the public interest. *Id.* The probability of success is the predominant factor, but a failure to demonstrate irreparable harm is also an independently sufficient ground to deny relief. *Id.* 

#### **ARGUMENT**

#### I. APPELLANTS LACK STANDING.

The district court issued two thorough orders, explaining why it found Appellants lack Article III and prudential standing. Appellants are making the same standing arguments the district court rejected in its combined 54 pages of discussion, analysis, and conclusions.

## II. APPELLANTS CANNOT GET THE RELIEF THEY SEEK UNDER THE *PURCELL* PRINCIPLE AND LACHES DOCTRINE.

Appellants filed this lawsuit after voting began in Minnesota for the general election and nearly two months after entry of the consent decree. Voters have already received ballots with instructions notifying them of the postmark rule. Election day is days away. The *Purcell* principle and equitable doctrine of laches bar the relief Appellants seek at this late date.

Last-minute changes deprive election officials of the time they need to implement changes and notify voters. Orderly election administration requires knowing the rules for the election well in advance of voting. Ideally, any changes to those rules should come with plenty of lead time, so election officials can implement the changes and notify voters. Highlighting these concerns, the Supreme Court, in *Purcell v. Gonzalez*, 549 U.S. 1 (2006), announced a presumption against last-minute interventions in the electoral process: "Court orders affecting elections, especially conflicting orders, can themselves result in voter confusion and consequent incentive to remain away from the polls. As an election draws closer, that risk will increase." *Id.* at 7.

The *Purcell* principle is a sufficient basis to deny injunctive relief. *See id.* at 5. In the *Purcell* case itself, the Supreme Court vacated a lower court's injunction because it changed an election rule too close to an election. *Id.* at 8.; *see also Short* v. *Brown*, 893 F.3d 671, 680 (9th Cir. 2018) ("[E]ven if the merits question were

close, the district court did not abuse its discretion [by denying a preliminary injunction on *Purcell* grounds]").

In this case, Appellants waited nearly two months to seek an injunction against the consent decree. They wish to change the rules for ballot timeliness in Minnesota mere days from election day. Their decision to wait until so close to election day is fatal under *Purcell*.

The fact that a postmark rule was in place for Minnesota's last election, the August primary, also cuts against an injunction. The U.S. Supreme Court made a similar point when it rejected a challenge to a consent decree suspending enforcement of an absentee witness requirement in Rhode Island due to Covid-19. The Supreme Court denied an application for an emergency stay of the consent decree because the state had also suspended the witness rule for the previous election due to Covid-19: "The status quo is one in which the challenged requirement has not been in effect, given the rules used in Rhode Island's last election, and many Rhode Island voters may well hold that belief." *Republican National Committee v. Common Cause of Rhode Island*, Sup. Ct. Docket 20A28, 591 U.S. \_\_ (Aug. 13, 2020 order in pending case) (Marisam Decl., Ex. E).

The same reasoning applies with even greater force here. The status quo is one in which there was a postmark rule for Minnesota's last election, under the June 17 consent decree. Many Minnesota voters believe that this is the rule for the

general election, because they have received instructions with their ballots telling them this is the rule.

Laches, like *Purcell*, requires denial of an injunction. Courts apply the equitable doctrine of laches to "prevent one who has not been diligent in asserting a known right from recovering at the expense of one who has been prejudiced by the delay." *Monaghen v. Simon*, 888 N.W.2d 324, 328–29 (Minn. 2016). The Minnesota Supreme Court has repeatedly denied election challenges due to laches. *See Clark v. Reddick*, 791 N.W.2d 292, 294–96 (Minn. 2010); *Clark v. Pawlenty*, 755 N.W.2d 293, 303 (Minn. 2008); *Marsh v. Holm*, 55 N.W.2d 302, 304 (Minn. 1952). The reason is that the "very nature of matters implicating election laws and proceedings routinely requires expeditious consideration and disposition by courts facing considerable time constraints imposed by the ballot preparation and distribution process." *Peterson v. Stafford*, 490 N.W.2d 418, 419 (Minn.1992).

Laches requires dismissal when "there has been such an unreasonable delay in asserting a known right, resulting in prejudice to others, as would make it inequitable to grant the relief prayed for." *Monaghen*, 888 N.W.2d at 328-29. Here, Appellants sat on their rights for nearly two months before suing. If they had sued in August, this issue could have been resolved before voting began on September 18 and voters received their absentee ballots and instructions. Now, the

could create chaos for Minnesota's election. By sitting on their rights as the election neared, Appellants forfeited their rights.

#### III. THIS COURT MUST ABSTAIN UNDER PENNZOIL.

In *Pennzoil Co. v. Texaco, Inc.*, 481 U.S. 1 (1987), after Texaco lost in state court, it filed a federal lawsuit to enjoin enforcement of the state court judgment, alleging violations of the U.S. Constitution. *Id.* at 13. The Supreme Court held that the federal district court could not entertain the suit because "federal injunctions" may not be used to "interfere with the execution of state judgments," particularly where the federal claim could have been raised in the state court action. *Id.* at 13-16. The purpose of *Pennzoil* is to preserve the state's interest in protecting "the authority of the judicial system, so that its orders and judgments are not rendered nugatory." *Id.* at 14 n.12.

Pennzoil forbids the relief Appellants seek here, because Appellants seek a federal injunction that would render the state court's judgment nugatory. Pennzoil applies even though Appellants were not parties in state court. Pennzoil is a form of Younger abstention, which bars claims from federal plaintiffs whose interests are inextricably intertwined with, or essentially derivative of, parties to a state court action. Cedar Rapids Cellular Tel., L.P. v. Miller, 280 F.3d 874, 881 (8th Cir. 2002); Spargo v. N.Y. State Comm'n on Judicial Conduct, 351 F.3d 65, 82-84 (2d Cir. 2003). Appellants' interests are clearly intertwined with, and essentially

derivative of, the interests of the Republican Party of Minnesota and Donald J. Trump for President, two entities that expressly waived their right to challenge the consent decree in any judicial forum. Marisam Decl., Ex. D. Appellants claim that they are members of the Republican Party and the party's nominees to serve as electors for the Republican nominee in this presidential election. Compl. ¶¶ 7-8, 73 (ECF No. 1). Appellants cannot credibly claim that their interests are distinct from the Republican Party's or the Trump Campaign's on these issues.

#### IV. APPELLANTS' ARTICLE II CLAIM FAILS ON THE MERITS.

Even if Appellants' claims are justiciable, they are not likely to succeed on the merits. Appellants' claim under the Electors Clause of Article II fails for four reasons. First, the U.S. Supreme Court has established that courts can order a change from an election day receipt rule to a postmark rule due to Covid-19. *Republican Nat'l Comm. v. Dem. Nat'l Comm*, 140 S. Ct. 1205 (2020) (per curiam). Second, the Secretary of State has authority to enter into a consent decree and implement the relief ordered by the state court. Third, Appellants' claim has no grounding in the clause's text, purpose, or history. Fourth, the Minnesota legislature has authorized the Secretary of State to make changes to election laws pursuant to court orders.

## A. The Supreme Court Has Established that a Court Can Enter an Order Changing a State's Election Day Receipt Rule to a Postmark Rule.

Earlier this year, the U.S. Supreme Court ordered that Wisconsin change its election day receipt rule to a postmark rule for its primary election, due to Covid-19. *Republican Nat'l Comm. v. Dem. Nat'l Comm*, 140 S. Ct. 1205 (2020) (per curiam).

Wisconsin, like Minnesota, has a requirement that absentee ballots must be received by election day. Wisc. Stat. § 6.87(6). Before Wisconsin's April 7, 2020, primary, a federal district court ordered that absentee ballots received six days after the election should be counted, regardless of when they are postmarked, based on concerns related to Covid-19. 140 S. Ct. at 1206. The Supreme Court stayed the district court's order to the extent it required the state to count absentee ballots postmarked after election day. *Republican National Committee*, 140 S. Ct. at 1206. However, the Court ordered that all ballots postmarked by election day and received within six days are timely. *Id.* at 1208. The rationale for the change was that the pandemic had led to a surge in absentee ballot requests, creating a backlog and delay in how quickly voters would receive their ballots. *Id.* at 1209-1210 (Ginsburg, J., dissenting).

The consent decree and judgment issued by the state court judge in *LaRose* implemented virtually the identical relief for the Minnesota general election, and for similar reasons.

## B. The Secretary Has Authority to Enter into a Consent Decree and to Implement the Relief Ordered by the State Judge.

The Secretary is a constitutional officer and chief elections officer for Minnesota. He is bound to support the Constitution and exercise his best judgment when implementing Minnesota's election laws. Minn. Const., art. V, sec. 6. When the *LaRose* lawsuit was filed, he had an obligation to exercise his best judgment to determine whether application of the challenged laws would violate Minnesota's Constitution. The Secretary, though, did not unilaterally sign a settlement agreement to halt enforcement of the laws. He presented a consent decree that invited judicial review and approval. The Secretary is now bound by the judicial power of the courts to implement the relief in the consent decree judgment.

In the litigation over a Rhode Island consent decree altering an election rule due to Covid-19, the First Circuit expressly rejected an argument that the Rhode Island Secretary of State lacked the authority to enter into a decree changing a state's election laws. The court held: "if state officials fairly conclude, as credibly happened here, that enforcement of a law is unconstitutional in certain circumstances, one can hardly fault them for so acknowledging." *Common Cause Rhode Island*, 2020 WL 4579367, at \*4. "And it would be odd indeed to say that a

plaintiff cannot get relief from an unconstitutional law merely because the state official charged with enforcing the law agrees that its application is unconstitutional." *Id*.

Appellants are asking this Court to make the same "odd" finding: that a constitutional officer of a state cannot conclude that a law he implements is constitutionally problematic if applied under certain conditions, such as a pandemic, and then ask a judge to approve a change to avoid those constitutional difficulties. This Court should reject that request.

## C. Nothing in Article II Prevents a State from Finding that Its Election Laws Violate Its Constitution.

Appellants' claim stretches Article II far beyond its text, historical purpose, and existing precedent.

The Electors Clause provides: "Each State shall appoint, in such Manner as the Legislature thereof may direct, a Number of Electors, equal to the whole Number of Senators and Representatives to which the State may be entitled in the Congress." Art. II, § 1, cl. 2.

On its face, it gives state legislatures authority to determine how electors are selected. The consent decree makes no changes to the state laws on how electors are selected. *See* Minn. Stat. § 208.40 *et seq.* (the Uniform Faithful Presidential Electors Act). Nothing in the clause's text or history suggest it is a violation for a court to order changes to election procedures under the state constitution.

A large body of Supreme Court case law makes the same point regarding the conceptually similar Elections Clause of Article I, which grants state legislature's authority to set time, place, and manner rules for U.S. congressional elections. Art. I, § 4, cl. 1. While the Electors Clause of Article II addresses presidential elections, the Elections Clause of Article I addresses congressional elections. Both clauses grant authority to state legislatures to set relevant state election rules. The Electors Clause and Elections Clause have "considerable similarity," and interpretations of one clause may inform the other. *Ariz. State Leg. v. Ariz. Indep. Redistricting Comm'n*, 576 U.S. 787, 839 (2015) (Roberts, C.J., dissenting).

The Supreme Court has repeatedly held that nothing in the Elections Clause alters a state court's authority to review state election laws and provide relief from them. In *Smiley v. Holm*, 285 U.S. 355 (1932), the Court held that the Elections Clause does not "render[] inapplicable the conditions which attach to the making of state laws" and does not "endow the Legislature of the state with power to enact laws in any manner other than that in which the Constitution of the state has provided that laws shall be enacted." *Id.* at 365, 368.

More recently, the Supreme Court has explained: "Nothing in that [Elections] Clause instructs, nor has this Court ever held, that a state legislature may prescribe regulations on the time, place, and manner of holding federal

elections in defiance of provisions of the State's constitution." *Arizona State Legislature v. Arizona Indep. Redistricting Comm'n*, 576 U.S. 787, 817-18 (2015).

Historically, Appellants' reading of Article II also finds no support. Alexander Hamilton, writing in the Federalist Papers, emphasized that the primary purpose of the electoral process established by Article II was to minimize "cabal, intrigue, and corruption" in the selection of the President. The Federalist No. 68 (Alexander Hamilton). This basic purpose is not implicated in this case at all.

## D. Even If Article II Requires a Legislative Enactment Authorizing Changes to Election Procedures, Minnesota Has Such a Statute.

Even if Article II requires a legislative enactment to authorize the Secretary to implement the relief in the consent decree, Minnesota has such a statute. Section 204B.47 provides: "When a provision of the Minnesota Election Law cannot be implemented as a result of an order of a state or federal court, the secretary of state shall adopt alternative election procedures to permit the administration of any election affected by the order." Minn. Stat. § 204B.47. This authority specifically includes procedures for "the voting and handling of ballots cast after 8:00 p.m. as a result of a state or federal court order." *Id*.

The consent decree and accompanying order are a judgment and order from a state court establishing that the election day receipt rule cannot be implemented in 2020. *See Hentschel v. Smith*, 153 N.W.2d 199, 206 (Minn. 1967) (consent

decrees have the force of a court judgment). By implementing the consent decree, the Secretary is acting pursuant to this express legislative enactment.

## V. APPELLANTS' STATUTORY CLAIM FAILS ON THE MERITS BECAUSE THE CONSENT DECREE DOES NOT CHANGE THE DATE OF THE ELECTION.

Appellants' second claim is unlikely to succeed because the consent decree does not change the date of the election.

Appellants rely on a single sentence in the decree: "Where a ballot does not bear a postmark date, the election official reviewing the ballot should presume that it was mailed on or before Election Day unless the preponderance of the evidence demonstrates it was mailed after Election Day." Marisam Decl., Ex. B at 10.

Appellants twist this language to claim that it changes the date of the election. It does no such thing. It does not alter the rule that a ballot must be mailed by election day. It just establishes a presumption to ensure that voters are not disenfranchised when they timely submit their ballots but, for no fault of their own, the Postal Service inadvertently does not postmark their ballots.

When a ballot lacks a postmark, due to inadvertence or negligence by the Postal Service, it can lead to post-election litigation over whether to count the ballot. *See, e.g., Gallagher v. New York State Bd. of Elections*, No. 20 CIV. 5504, 2020 WL 4496849 (S.D.N.Y. Aug. 3, 2020). Unfortunately, this kind of post-election litigation about the validity of ballots cast for particular candidates "threatens to undermine voter confidence in the electoral process and potentially to

undermine confidence in the judiciary as well." Richard L. Hasen, *The Untimely Death of Bush v. Gore*, 60 Stan. L. Rev. 1, 5 (2007).

To avoid this post-election problem, the consent decree establishes a presumption that ballots without postmarks are timely, if they are received within seven days and there is no evidence, such as other markings or dates, showing they were mailed after election day. This presumption is based on the Postal Service's own guidance regarding how long it takes for a ballot to go through the postal system and be delivered to election officials. *See* State And Local Election Mail—User's Guide, United States Postal Service, January 2020; Office of Inspector General, U.S.P.S., Rpt. No. 20-235-R20, Timeliness of Ballot Mail in the Milwaukee Processing & Distribution Center Service Area 6-7 (2020); Marisam Decl, Ex. B at 4 (citing postal service guidance and reports on ballot delivery times).

Recent reports have found that "postal districts across the country are missing by wide margins the agency's own goals for on-time delivery, raising the possibility that scores of mailed ballots could miss deadlines for reaching local election offices if voters wait too long." Anthony Izaguirre and Pia Deshpande,

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The guidance document is available at https://about.usps.com/publications/pub632.pdf. The report is available at https://www.uspsoig.gov/sites/default/files/document-library-files/2020/20-235-R20.pdf.

Records: Mail delivery lags behind targets as election nears, Star Trib. (Sept. 24, 2020). In light of these reports, it is even more important that voters have protections to ensure they are not disenfranchised if, through no fault of their own, the Postal Service fails to postmark their ballot.

Most importantly, though, the presumption in the consent decree does not change the date of the election. It simply establishes an evidentiary presumption for determining whether a ballot was mailed on election day. Under the consent decree, election day remains November 3.

## VI. THE BALANCE OF HARMS AND PUBLIC INTEREST WEIGH HEAVILY AGAINST AN INJUNCTION.

The state's strong interests in orderly elections and minimizing voter confusion cut heavily against an injunction. *See Carlson v. Simon*, 888 N.W.2d 467, 474 (Minn. 2016) (recognizing the "State's interest in the orderly administration of the election and electoral processes"); *Hippert v. Ritchie*, 813 N.W.2d 374, 381 (Minn. 2012) (recognizing the state interest in minimizing "voter confusion").

It is incredibly important that this presidential election, held during a oncein-a-century pandemic, goes as smoothly as possible. An order enjoining the postmark rule at this late date would cause confusion and interfere with orderly election administration. Since voting began on September 18, ballots have been mailed to voters with instructions notifying them that their ballots will be timely if they are postmarked by election day. See Maeda Decl. At this point, the postmark

rule cannot be undone without causing massive confusion and disenfranchisement.

The worst-case scenario would be that scores of ballots are not counted because

voters, relying on their ballot instructions, mail their ballots on or shortly before

election day. This disenfranchisement is a likely outcome if Appellants prevail.

Appellants come nowhere close to identifying an interest sufficient to

outweigh the state interest in minimizing voter confusion and ensuring orderly

election administration.

#### **CONCLUSION**

For these reasons, the Court should deny the motion.

Dated: October 20, 2020

KEITH ELLISON Attorney General State of Minnesota

s/ Jason Marisam

JASON MARISAM (#0398187) CICELY MILTICH (#0392902)

Assistant Attorneys General

445 Minnesota Street, Suite 1100 St. Paul, Minnesota 55101-2128

(651) 757-1275 (Voice)

(651) 282-5832 (Fax)

jason.marisam@ag.state.mn.us cicely.miltich@ag.state.mn.us

ATTORNEYS FOR DEFENDANT

#### CERTIFICATE OF COMPLIANCE WITH FRAP 27

This response complies with the type-volume limitation of Fed.
 R. App. P. 27 because it contains 5,171 words.

2. This response complies with the typeface requirements of Fed. R. App. P. 32(a)(5) and the type style requirements of Fed. R. App. P. 32(a)(6) because it has been prepared in a proportionally spaced typeface using Microsoft Word 2010 in 14 pt Times New Roman font.

s/ Jason Marisam
JASON MARISAM
Assistant Attorney General

## CERTIFICATE OF COMPLIANCE WITH 8th Cir. R. 28A(h)(2)

The undersigned, on behalf of the party filing and serving this brief, certifies that the brief has been scanned for viruses and that the brief is virus-free.

s/ Julie Peick	
JULIE PEICK	

# EXHIBIT A TO DEFENDANT-APPELLEE'S RESPONSE TO EMERGENCY MOTION FOR INJUNCTION PENDING APPEAL

Appellate Case: 20-3139 Page: 1 Date Filed: 10/20/2020 Entry ID: 4967314

### UNITED STATES DISTRICT COURT DISTRICT OF MINNESOTA

James Carson & Eric Lucero,

Civil No. 0:20-cv-02030-NEB-TNL

Plaintiffs,

v.

DECLARATION OF JASON MARISAM

Steve Simon, in his official capacity as Secretary of State of Minnesota,

Defendant.

- I, Jason Marisam, hereby declare the following under penalty of perjury:
- 1. I am an assistant attorney general in the Office of the Minnesota Attorney General. I represent the Defendant in this matter.
- 2. Attached as Exhibit A is a true and correct copy of the stipulation and partial consent decree, signed by the district court on June 17, 2020, in *LaRose v. Simon*, No. 62-CV-20-3149, Minn. 2d Judicial Cir., County of Ramsey.
- 3. Attached as Exhibit B is a true and correct copy of the stipulation and partial consent decree, signed by the district court on August 3, 2020, in *LaRose v. Simon*, No. 62-CV-20-3149, Minn. 2d Judicial Cir., County of Ramsey.
- 4. Attached as Exhibit C is a true and correct copy of the Order, entered by the district court on August 3, 2020, in *LaRose v. Simon*, No. 62-CV-20-3149, Minn. 2d Judicial Cir., County of Ramsey.
- 5. Attached as Exhibit D are true and correct copies of the stipulation to dismiss appeals and dismissal order, filed and entered on August 18, 2020, in *LaRose v. Simon*,

Appellate Case: 20-3139 Page: 2 Date Filed: 10/20/2020 Entry ID: 4967314

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A20-1040, Minn. Sup. Ct. (Aug. 18, 2020).

6. Attached as Exhibit E is a true and correct copy of the order, entered by the

U.S. Supreme Court on August 13, 2020, in Republican National Committee v. Common

Cause of Rhode Island, Sup. Ct. Docket 20A28, 591 U.S. (Aug. 13, 2020 order in

pending case).

I declare under penalty of perjury that everything I have stated in this document is

true and correct.

Dated: September 29, 2020

/s/ Jason Marisam

JASON MARISAM

## EXHIBIT A TO THE DECLARATION OF JASON MARISAM

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Filed in District Court State of Minnesota 6/16/2020 9:26 AM

STATE OF MINNESOTA

DISTRICT COURT

COUNTY OF RAMSEY

SECOND JUDICIAL DISTRICT

Case Type: Civil

\_\_\_\_\_

Robert LaRose, Teresa Maples, Mary Sansom, Gary Severson, and Minnesota Alliance for Retired Americans,

Plaintiffs,

v.

Steve Simon, in his official capacity as Minnesota Secretary of State,

Defendant.

STIPULATION AND PARTIAL CONSENT DECREE

Court File No: 62-CV-20-3149

Plaintiffs Robert LaRose, Teresa Maples, Mary Sansom, Gary Severson, and Minnesota Alliance for Retired Americans, and Defendant Steve Simon (collectively, "the Parties") stipulate to the following and request that this Court approve this Partial Consent Decree. This Stipulation and Partial Consent Decree is limited only to Plaintiffs' claims as they pertain to the August 11, 2020 primary election ("August Primary") and is premised upon the current public health crisis facing Minnesota caused by the ongoing spread of the novel coronavirus.

I. RECITALS

WHEREAS on May 13, 2020, Plaintiffs filed a complaint against Defendant challenging the constitutionality and enforcement of Minnesota's requirement that each mail-in ballot be witnessed by a registered Minnesota voter, a notary, or person otherwise authorized to administer oaths ("Witness Requirement"), Minn. Stat. §§ 203B.07, 204B.45, and 204B.46, and its requirement that ballots be received by 8:00 p.m. on Election Day if delivered by mail (the

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"Election Day Receipt Deadline"), *id.* §§ 203B.08 subd. 3; 204B.45, and 204B.46, Minn. R. 8210.2200 subp. 1 and 8210.3000 (collectively, "Challenged Provisions"), in general and specifically during the ongoing public health crisis caused by the spread of the novel coronavirus;

WHEREAS among other relief requested, the Complaint seeks to enjoin enforcement of the Challenged Provisions during the August Primary due, in part, to the public health crisis caused by the spread of the novel coronavirus;

WHEREAS the coronavirus public health crisis is ongoing and Minnesota remains under "Stay Safe" Emergency Executive Order 20-74, which contemplates a phased reopening of Minnesota that continues to require social distancing and mandates that "[i]ndividuals engaging in activities outside of the home follow the requirements of [the Stay Safe Order and Minnesota Department of Health and Centers for Disease Control and Prevention ("CDC")] Guidelines," Exec. Order 20-74 ¶ 6(a), and states that individuals "at risk of severe illness from COVID-19 . . [are] strongly urged to stay at home or in their place of residence," id. ¶4;

WHEREAS Minnesota is anticipated to be required to maintain social distancing and abide by CDC Guidelines until the crisis subsides;

WHEREAS current projections indicate that the coronavirus crisis will continue into the summer and well into the August Primary election cycle;

WHEREAS federal guidelines state "[e]veryone should avoid close contact" by "stay[ing] home as much as possible" and "put[ting] distance between yourself and other people," CDC, Coronavirus Disease 2019: How to Protect Yourself & Others, https://www.cdc.gov/coronavirus/2019-ncov/prevent-getting-sick/prevention.html (last visited May 18, 2020), and "[e]ncourage voters to use voting methods that minimize direct contact,"

including absentee voting, CDC, Recommendations for Election Polling Locations: Interim guidance to prevent spread of coronavirus disease 2019 (COVID-19), https://www.cdc.gov/coronavirus/2019-ncov/community/election-polling-locations.html (last visited May 18, 2020);

WHEREAS the absentee voting period for the August Primary election begins on June 26, 2020, 46 days prior to the date of the election, Minn. Stat. § 203B.081 subd.1; *id.* § 204B.35, and absentee instructions, ballots, and envelopes, including the certificate of eligibility, must be prepared in time to have a supply for every precinct available to cover absentee voting prior to that date;

WHEREAS available public data regarding transmission of COVID-19 supports Plaintiffs' concerns for their safety if they are required to interact with others to cast their ballot in the August Primary, and whereas anticipated increases in absentee balloting, coupled with corresponding shortages of elections personnel and mail delays, appear likely to impact the August Primary and threaten to slow down the process of mailing and returning absentee ballots;

**WHEREAS**, on April 28, 2020, the Wisconsin Department of Health Services reported that 52 people who voted in person or worked the polls for Wisconsin's April 7, 2020 primary election have tested positive for COVID-19 thus far;

WHEREAS courts in other states have enjoined those states from enforcing witness requirements, similar to Minnesota's witness requirement, for primary elections this spring. *See Thomas v. Andino*, -- F. Supp. 3d. --, 2020 WL 2617329 (D.S.C. May 25, 2020); *League of Women Voters of Virginia v. Virginia State Board of Elections*, -- F. Supp. 3d --, 2020 WL 2158249 (W.D. Va. May 5, 2020);

WHEREAS, for the April 7, 2020 primary election in Wisconsin, the U.S. Supreme Court affirmed the implementation of a postmark rule, whereby ballots postmarked by Election Day could be counted as long as they were received within six days of Election Day. Republican Nat'l Comm. v. Democratic Nat'l Comm., 140 S. Ct. 1205, 1207 (2020);

WHEREAS the Parties agree that an expeditious resolution of this matter for the August Primary, in the manner contemplated by the terms of this Stipulation and Partial Consent Decree, will limit confusion and increase certainty surrounding the August Primary, including in the nine days remaining before the June 26, 2020 deadline for absentee ballot preparation, and is in the best interests of the health, safety, and constitutional rights of the citizens of Minnesota, and, therefore, in the public interest;

WHEREAS the Parties wish to avoid the burden and expense of litigation over an expedited preliminary injunction for the August Primary in agreeing to these terms, the Parties, acting by and through their counsel, have engaged in arms' length negotiations, and both Parties are represented by counsel knowledgeable in this area of the law;

WHEREAS it is the finding of this Court, made on the pleadings and upon agreement of the Parties, that: (i) the requirements of the Minnesota Constitution, Art. I, §§ 2, 7, and Art. VII, § 1, and U.S. Constitution, Amend. I and XIV, will be carried out by the implementation of this Partial Consent Decree, (ii) the terms of this Partial Consent Decree constitute a fair and equitable settlement of the issues raised with respect to the August Primary, (iii) this Partial Consent Decree is intended to and does resolve Plaintiffs' claims with respect to the August Primary; and (iv) this Partial Consent Decree is not intended to and does not resolve Plaintiffs' claims generally or specifically with respect to the general election scheduled for November 3, 2020 or any election thereafter;

NOW, THEREFORE, upon consent of the Parties, in consideration of the mutual promises and recitals contained in this Stipulation and Partial Consent Decree, including relinquishment of certain legal rights, the Parties agree as follows:

#### II. JURISDICTION

This Court has jurisdiction over the subject matter of this action pursuant to Minn. Const. Art. VI, § 3 and Minn. Stat. § 484.01 and has jurisdiction over the Parties herein. The Court shall retain jurisdiction of this Stipulation and Consent Decree for the duration of the term of this Partial Consent Decree for purposes of entering all orders, judgments, and decrees that may be necessary to implement and enforce compliance with the terms provided herein.

#### III. PARTIES

This Stipulation and Partial Consent Decree applies to and is binding upon the following parties:

- A. The State of Minnesota by Steve Simon, Secretary of State of Minnesota; and
- B. All Plaintiffs.

#### IV. SCOPE OF CONSENT DECREE

A. This Stipulation and Partial Consent Decree constitutes a partial settlement and resolution of Plaintiffs' claims against Defendant pending in this Lawsuit. Plaintiffs recognize that by signing this Stipulation and Partial Consent Decree, they are releasing any claims under the Minnesota or U.S. Constitutions that they might have against Defendant with respect to the Witness Requirement and Election Day Receipt Deadline in the August Primary. Plaintiffs' release of claims will become final upon the effective date of this Stipulation and Partial Consent Decree.

- B. The Parties to this Stipulation and Partial Consent Decree acknowledge that this does not resolve or purport to resolve any claims pertaining to the constitutionality or enforcement of the Witness Requirement and Election Day Receipt Deadline during the November 3, 2020 general election or any election thereafter. Neither Party releases any claims or defenses with respect to the Witness Requirement and Election Day Receipt Deadline related to the November 3, 2020 general election or any election thereafter.
- C. The Parties to this Stipulation and Partial Consent Decree further acknowledge that by signing this Stipulation and Partial Consent Decree, the Parties do not release or waive the following: (i) any rights, claims, or defenses that are based on any events that occur after they sign this Stipulation and Partial Consent Decree, (ii) any claims or defenses that are unrelated to the allegations filed by Plaintiffs in this Lawsuit, and (iii) any right to institute legal action for the purpose of enforcing this Stipulation and Partial Consent Decree or defenses thereto.
- D. By entering this Stipulation and Partial Consent Decree, Plaintiffs are partially settling a disputed matter between themselves and Defendant. The Parties are entering this Stipulation and Partial Consent Decree for the purpose of resolving a disputed claim, avoiding the burdens and costs associated with the costs of a preliminary injunction motion and hearing, and ensuring both safety and certainty in advance of the August Primary. Nothing in this Stipulation and Partial Consent Decree constitutes an admission by any party of liability or wrongdoing. The Parties acknowledge that a court may seek to consider this Stipulation and Partial Consent Decree, including the violations alleged in Plaintiffs' Complaint, in a future proceeding distinct from this Lawsuit.

## V. CONSENT DECREE OBJECTIVES

In addition to partially settling the claims of the Parties, the objective of this Stipulation and Partial Consent Decree is to ensure that Minnesota voters can safely and constitutionally exercise the franchise in the August Primary.

#### VI. INJUNCTIVE RELIEF

## IT IS HEREBY ORDERED, ADJUDGED, AND DECREED FOR THE REASONS STATED ABOVE THAT:

- A. For the August Primary Defendant shall not enforce the Witness Requirement, with respect to voting only, as set out in Minn. Stat. § 203B.07, subd. 3 (1) and (2), that each absentee ballot and designated mail ballot, Minn. Stat. § 204B.45 .46, and Minn. R. 8210.3000, for voters previously registered in Minnesota be witnessed by a registered Minnesota voter, a notary, or person otherwise authorized to administer oaths.
- B. For the August Primary Defendant shall not enforce the Election Day Receipt Deadline for mail-in ballots, as set out in Minn. Stat. §§ 203B.08 subd. 3, 204B.45, and 204B.46 and Minn. R. 8210.2200 subp. 1, and 8210.3000, that ballots be received by 8:00 p.m. on Election Day if delivered by mail. Instead, the deadline set forth in paragraph VI.D below shall govern.
- C. Defendant shall issue guidance instructing all relevant local election officials to count all absentee and designated mail ballots in the August Primary that are otherwise validly cast by voters registered in Minnesota prior to casting their absentee and designated mail ballot but missing a witness signature.
- D. Defendant shall issue guidance instructing all relevant local election officials to count all mail-in ballots in the August Primary that are otherwise validly cast and postmarked on or before Election Day but received by close of business at least one day prior to the beginning

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of the county canvass (i.e., within 2 days of Election Day for the August Primary). For the purposes of this Stipulation and Partial Consent Decree, postmark shall refer to any type of imprint applied by the United States Postal Service to indicate the location and date the Postal Service accepts custody of a piece of mail, including bar codes, circular stamps, or other tracking marks. Where a ballot does not bear a postmark date, the election official reviewing the ballot should presume that it was mailed on or before Election Day unless the preponderance of the evidence demonstrates it was mailed after Election Day.

- E. Defendant shall issue instructions to include with all absentee ballots and designated mail ballots—or issue guidance instructing all relevant local election officials to modify, amend, or print the instructions accompanying each absentee ballot and designated mail ballot—to inform voters that any absentee ballot or designated mail ballot cast by a previously registered voter in the August Primary without a witness signature will not be rejected on that basis and that the witness signature line and associated language for witnesses to certify a previously registered voter's ballot, Minn. Stat. §§ 203B.07, subd. 3 (1) and (2), 204B.45, and 204B.46, and Minn. R. 8210.2200, subp.1 and Minn. R. 8210.3000 and be removed from the certification of eligibility altogether for absentee ballot and designated mail ballot materials sent to previously registered voters.
- F. Defendant shall issue instructions to include with all absentee and designated mail ballots—or issue guidance instructing all relevant local election officials to modify, amend, or print instructions accompanying each absentee and designated mail ballot—to inform voters that any absentee or designated mail ballot cast in the August Primary and postmarked on or before Election Day and received within 2 days will be counted.

- G. Defendant shall take additional reasonable steps to inform the public that the Witness Requirement for voting will not be enforced for the August Primary and issue guidance instructing all relevant city and county election officials to do the same.
- H. Defendant shall take additional reasonable steps to inform the public that the Election Day Receipt Deadline will not be enforced for the August Primary and that any absentee or designated mail ballot cast in the August Primary and postmarked on or before Election Day and received within 2 days by close of business will be counted.
- I. Plaintiffs will not file a motion for preliminary injunction for the August Primary election.
- J. In accordance with the terms of this Stipulation and Partial Consent Decree, the Parties shall each bear their own fees, expenses, and costs incurred as of the date of this Order with respect to Plaintiffs' claims raised as to the August Primary against Defendant.

#### VII. ENFORCEMENT AND RESERVATION OF REMEDIES

The Parties to this Stipulation and Partial Consent Decree may request relief from this Court if issues arise concerning the interpretation of this Stipulation and Partial Consent Decree that cannot be resolved through the process described below. This Court specifically retains continuing jurisdiction over the subject matter hereof and the Parties hereto for the purposes of interpreting, enforcing, or modifying the terms of this Stipulation and Partial Consent Decree, or for granting any other relief not inconsistent with the terms of this Partial Consent Decree, until this Partial Consent Decree is terminated. The Parties may apply to this Court for any orders or other relief necessary to construe or effectuate this Stipulation and Partial Consent Decree or seek informal conferences for direction as may be appropriate. The Parties shall attempt to meet and confer regarding any dispute prior to seeking relief from the Court.

If either Party believes that the other has not complied with the requirements of this Stipulation and Partial Consent Decree, it shall notify the other Party of its noncompliance by emailing the Party's counsel. Notice shall be given at least one business day prior to initiating any action or filing any motion with the Court.

The Parties specifically reserve their right to seek recovery of their litigation costs and expenses arising from any violation of this Stipulation and Partial Consent Decree that requires either Party to file a motion with this Court for enforcement of this Stipulation and Partial Consent Decree.

#### VIII. **GENERAL TERMS**

- A. Voluntary Agreement. The Parties acknowledge that no person has exerted undue pressure on them to sign this Stipulation and Partial Consent Decree. Each Party is voluntarily choosing to enter into this Stipulation and Partial Consent Decree because of the benefits that are provided under the agreement. The Parties acknowledge that they have read and understand the terms of this Stipulation and Partial Consent Decree; they have been represented by legal counsel or had the opportunity to obtain legal counsel; and they are voluntarily entering into this Stipulation and Partial Consent Decree to resolve the dispute among them.
- Severability. The provisions of this Stipulation and Partial Consent Decree shall В. be severable, and should any provisions be declared by a court of competent jurisdiction to be unenforceable, the remaining provisions of this Stipulation and Partial Consent Decree shall remain in full force and effect.
- C. **Agreement**. This Stipulation and Partial Consent Decree is binding. The Parties acknowledge that they have been advised that (i) the other Party has no duty to protect their interest or provide them with information about their legal rights, (ii) signing this Stipulation and

Partial Consent Decree may adversely affect their legal rights, and (iii) they should consult an attorney before signing this Stipulation and Partial Consent Decree if they are uncertain of their rights.

- D. Entire Agreement. This Stipulation and Consent Decree constitutes the entire agreement between the Parties relating to the constitutionality and enforcement of the Witness Requirement and Election Day Receipt Deadline as they pertain to the August Primary. No Party has relied upon any statements, promises, or representations that are not stated in this document. No changes to this Stipulation and Partial Consent Decree are valid unless they are in writing, identified as an amendment to this Stipulation and Partial Consent Decree, and signed by all Parties. There are no inducements or representations leading to the execution of this Stipulation and Partial Consent Decree except as herein explicitly contained.
- E. Warranty. The persons signing this Stipulation and Partial Consent Decree warrant that they have full authority to enter this Stipulation and Partial Consent Decree on behalf of the Party each represents, and that this Stipulation and Partial Consent Decree is valid and enforceable as to that Party.
- F. Counterparts. This Stipulation and Partial Consent Decree may be executed in multiple counterparts, which shall be construed together as if one instrument. Any Party shall be entitled to rely on an electronic or facsimile copy of a signature as if it were an original.
- G. Effective Date. This Stipulation and Partial Consent Decree is effective upon the date it is entered by the Court. Defendant agrees to continue to initiate and implement all activities necessary to comply with the provisions of this Stipulation and Partial Consent Decree pending entry by the Court.

#### IX. **TERMINATION**

This Stipulation and Partial Consent Decree shall remain in effect through the certification of ballots for the August Primary. The Court shall retain jurisdiction to enforce the terms of the Partial Consent Decree for the duration of this Partial Consent Decree. This Court's jurisdiction over this Stipulation and Partial Consent Decree shall automatically terminate after the certification of all ballots for the August Primary.

THE PARTIES ENTER INTO AND APPROVE THIS STIPULATION AND PARTIAL CONSENT DECREE AND SUBMIT IT TO THE COURT SO THAT IT MAY BE APPROVED AND ENTERED. THE PARTIES HAVE CAUSED THIS STIPULATION AND CONSENT DECREE TO BE SIGNED ON THE DATES OPPOSITE THEIR SIGNATURES.

Dated: June 16, 2020

Dated: <u>June 16, 2020</u>

SECRETARY OF STATE OF MINNESOTA

By: Oteve Pimm

Steve Simon Secretary of State

**GREENE ESPEL PLLP** 

By: /s/Sybil L. Dunlop

Sybil L. Dunlop (Reg. No. 390186) Samuel J. Clark (Reg. No. 388955) 222 South Ninth Street, Suite 2200

Minneapolis, MN 55402 Phone: (612) 373-0830 Fax: (612) 373-0929

Email: SDunlop@GreeneEspel.com Email: SClark@GreeneEspel.com

#### PERKINS COIE LLP

Marc E. Elias\*
Amanda R. Callais\*
700 13th St. N.W., Suite 600
Washington, D.C. 20005-3960
Phone: (202) 654-6200

Phone: (202) 654-6200 Fax: (202) 654-9106

Email: MElias@perkinscoie.com Email: ACallais@perkinscoie.com

Abha Khanna\*

1201 Third Avenue Suite 4900

Seattle, WA 98101-3099 Phone: (206) 359-8312 Fax: (206) 359-9312

Email: AKhanna@perkinscoie.com

Charles G. Curtis, Jr.\*

33 East Main Street, Suite 201 Madison, Wisconsin 53703-3095

Phone: (608) 663-7460 Fax: (608) 663-7499

Email: CCurtis@perkinscoie.com

\*Motions for admission pro hac vice pending

Attorneys for Plaintiffs

## IT IS SO DECREED AND ORDERED. JUDGMENT SHALL BE ENTERED IN ACCORDANCE WITH THE FOREGOING CONSENT DECREE.

Dated: June 17, 2020

Grewing, Sum (Judge)
Jun 17 2020 9:32 AM

The Honorable Judge Sara Grewing Judge of District Court

# EXHIBIT B TO THE DECLARATION OF JASON MARISAM

Appellate Case: 20-3139 Page: 19 Date Filed: 10/20/2020 Entry ID: 4967314

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STATE OF MINNESOTA

DISTRICT COURT

**COUNTY OF RAMSEY** 

SECOND JUDICIAL DISTRICT
Case Type: Civil

\_\_\_\_\_

Robert LaRose, Teresa Maples, Mary Sansom, Gary Severson, and Minnesota Alliance for Retired Americans,

CONSENT DECREE

STIPULATION AND PARTIAL

Plaintiffs,

v.

Steve Simon, in his official capacity as Minnesota Secretary of State,

Defendant.

Court File No: 62-CV-20-3149

Plaintiffs Robert LaRose, Teresa Maples, Mary Sansom, Gary Severson, and Minnesota Alliance for Retired Americans, and Defendant Steve Simon (collectively, "the Parties") stipulate to the following and request that this Court approve this Partial Consent Decree. This Stipulation and Partial Consent Decree is limited only to Plaintiffs' claims as they pertain to the November 3, 2020 general election ("November General Election") and is premised upon the current public health crisis facing Minnesota caused by the ongoing spread of the novel coronavirus.

#### I. RECITALS

WHEREAS on May 13, 2020, Plaintiffs filed a complaint against Defendant challenging the constitutionality and enforcement of Minnesota's requirement that each mail-in ballot be witnessed by a registered Minnesota voter, a notary, or person otherwise authorized to administer oaths ("Witness Requirement"), Minn. Stat. §§ 203B.07, 204B.45, and 204B.46, and its requirement that ballots be received by 8:00 p.m. on Election Day if delivered by mail (the

Appellate Case: 20-3139 Page: 20 Date Filed: 10/20/2020 Entry ID: 4967314

"Election Day Receipt Deadline"), *id.* §§ 203B.08 subd. 3; 204B.45, and 204B.46, Minn. R .8210.2200 subp. 1 and 8210.3000 (collectively, "Challenged Provisions"), in general and specifically during the ongoing public health crisis caused by the spread of the novel coronavirus;

WHEREAS among other relief requested, the Complaint seeks to enjoin enforcement of the Challenged Provisions during the November General Election due, in part, to the public health crisis caused by the spread of the novel coronavirus;

WHEREAS the coronavirus public health crisis is ongoing and Minnesota remains under "Stay Safe" Emergency Executive Order 20-74, which contemplates a phased reopening of Minnesota that continues to require social distancing and mandates that "[i]ndividuals engaging in activities outside of the home follow the requirements of [the Stay Safe Order and Minnesota Department of Health and Centers for Disease Control and Prevention ("CDC")] Guidelines," Exec. Order 20-74 ¶ 6(a), and states that individuals "at risk of severe illness from COVID-19.

[are] strongly urged to stay at home or in their place of residence," id. ¶4;

**WHEREAS** Minnesota remains under a peacetime emergency, declared by the governor, because the "COVID-19 pandemic continues to present an unprecedented and rapidly evolving challenge to our State," Emergency Executive Order 20-78;

WHEREAS Minnesota is currently witnessing an increase in positive COVID-19 cases, Minnesota has had over 42,000 confirmed COVID-19 cases, with over 4,300 hospitalizations and over 1,500 fatalities, and current projections indicate that the coronavirus crisis will continue into the fall and well into the November General Election cycle;

WHEREAS cases continue to spread and climb across the country, and the director of the National Institute of Allergy and Infectious Diseases recently warned that the country is still "kneedeep" in the first wave of the pandemic;

WHEREAS federal guidelines state "[e]veryone should avoid close contact" by "keeping distance from others," CDC, Coronavirus Disease 2019: How to Protect Yourself & Others, https://www.cdc.gov/coronavirus/2019-ncov/prevent-getting-sick/prevention.html (last visited July 13, 2020), and advise that jurisdictions "offer alternative voting methods that minimize direct contact," including "alternatives to in-person voting" such as absentee voting, CDC, Recommendations for Election Polling Locations: Interim guidance to prevent spread of coronavirus 2019 https://www.cdc.gov/coronavirus/2019disease (COVID-19), ncov/community/election-polling-locations.html (last visited July 13, 2020);

WHEREAS Minnesota is anticipated to be required to maintain social distancing and abide by CDC Guidelines until the crisis subsides;

WHEREAS the absentee voting period for the November General Election begins on September 18, 2020, 46 days prior to the date of the election, Minn. Stat. § 203B.081 subd.1; id. § 204B.35, and absentee instructions, ballots, and envelopes, including the certificate of eligibility, must be prepared in time to have a supply for every precinct available to cover absentee voting prior to that date;

WHEREAS available public data regarding transmission of COVID-19 supports Plaintiffs' concerns for their safety if they are required to interact with others to cast their ballot in the November General Election;

WHEREAS anticipated increases in absentee balloting are already being observed for the August 11, 2020 Primary Election and will continue in the November General Election, and coupled with corresponding shortages of elections personnel and mail delays, appear likely to impact the November General Election and threaten to slow down the process of mailing and returning absentee ballots;

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WHEREAS the delivery standards for the Postal Service, even in ordinary times contemplate, at a minimum, at least a week for ballots to be processed through the postal system and delivered to election officials, "State And Local Election Mail—User's Guide," United States Postal Service, January 2020, available at https://about.usps.com/publications/pub632.pdf (last visited, July 13, 2020);

WHEREAS the Office of Inspector General for the United States Postal Service has reported that states with absentee ballot request deadlines less than seven days before Election Day, including Minnesota, are at "high risk" of ballots "not being delivered, completed by voters, and returned to the election offices in time . . . due to the time required for election commissions to produce ballots and Postal Service delivery standards." Office of Inspector General, U.S.P.S., Rpt. No. 20-235-R20, Timeliness of Ballot Mail in the Milwaukee Processing & Distribution Center Service 6-7 (2020),available Area at https://www.uspsoig.gov/sites/default/files/document-library-files/2020/20-235-R20.pdf (last visited, July 13, 2020);

WHEREAS it was recently reported: "Mail deliveries could be delayed by a day or more under cost-cutting efforts being imposed by the new postmaster general. The plan eliminates overtime for hundreds of thousands of postal workers and says employees must adopt a 'different mindset' to ensure the Postal Service's survival during the coronavirus pandemic." Matthew Daly, Mail delays likely as new postal boss pushes cost-cutting, Mpls. Star Tribune (July 15, 2020);

WHEREAS on April 28, 2020, the Wisconsin Department of Health Services reported that 52 people who voted in person or worked the polls for Wisconsin's April 7, 2020 primary election have tested positive for COVID-19 thus far;

WHEREAS courts in other states have enjoined those states from enforcing witness requirements, similar to Minnesota's witness requirement, for primary elections this spring. See Thomas v. Andino, -- F. Supp. 3d. --, 2020 WL 2617329 (D.S.C. May 25, 2020); League of Women Voters of Va. v. Va. State Bd. of Elections, -- F. Supp. 3d --, 2020 WL 2158249, at \*8 (W.D. Va. May 5, 2020) ("In our current era of social distancing-where not just Virginians, but all Americans, have been instructed to maintain a minimum of six feet from those outside their household-the burden [of the witness requirement] is substantial for a substantial and discrete class of Virginia's electorate. During this pandemic, the witness requirement has become both too restrictive and not restrictive enough to effectively prevent voter fraud.");

WHEREAS for the April 7, 2020 primary election in Wisconsin, the U.S. Supreme Court affirmed the implementation of a postmark rule, whereby ballots postmarked by Election Day could be counted as long as they were received within six days of Election Day, Republican Nat'l Comm. v. Democratic Nat'l Comm., 140 S. Ct. 1205, 1207 (2020), and other courts have also enjoined Election Day Receipt Deadlines during the current public health crisis, see Driscoll v. Stapleton, No. DV 20-408, slip op. at 11 (Mont. Dist. Ct. May 22, 2020); see also Republican Nat'l Comm., 140 S.Ct. at 1210 (Ginsburg, J., dissenting) (noting that, in Wisconsin, the "surge in absentee-ballot requests has overwhelmed election officials, who face a huge backlog in sending ballots");

WHEREAS multiple courts have found that the pandemic requires or justifies changes to other aspects of states' election laws, see, e.g., People Not Politicians Oregon v. Clarno, 20-cv-1053, 2020 WL 3960440 (D. Or. July 13, 2020); Cooper v. Raffensperger, -- F. Supp. 3d --, 20cv-1312, 2020 WL 3892454 (N.D. Ga. July 9, 2020); Reclaim Idaho v. Little, 20-cv-268, 2020 WL 3892454 (D. Idaho June 26, 2020); Libertarian Party of Ill. v. Pritzker, 20-cv-2112, 2020 WL 1951687 (N.D. III. Apr. 23, 2020); *Paher v. Cegavske*, -- F. Supp. 3d --, 20-cv-243, 2020 WL 2089813 (D. Nev. Apr. 30, 2020);

WHEREAS the Parties agree that an expeditious resolution of this matter for the November General Election, in the manner contemplated by the terms of this Stipulation and Partial Consent Decree, will limit confusion and increase certainty surrounding the November General Election, including in the days remaining before the September 18, 2020 deadline for absentee ballot preparation, and is in the best interests of the health, safety, and constitutional rights of the citizens of Minnesota, and, therefore, in the public interest;

**WHEREAS** the Parties wish to avoid the burden and expense of litigation over an expedited preliminary injunction for the November General Election;

WHEREAS the Parties, in agreeing to these terms, acting by and through their counsel, have engaged in arms' length negotiations, and both Parties are represented by counsel knowledgeable in this area of the law;

**WHEREAS**, on June 17, 2020, this Court signed and approved a stipulation and partial consent decree implementing substantially similar relief for the August 11, 2020 primary election;

WHEREAS voters have been informed about the rule changes for the primary election, voting has begun with those rules in place, and it would minimize confusion to have consistent rules regarding how elections are conducted during this pandemic;

WHEREAS it is the finding of this Court, made on the pleadings and upon agreement of the Parties, that: (i) the requirements of the Minnesota Constitution, Art. I, §§ 2, 7, and Art. VII, § 1, and U.S. Constitution, Amend. I and XIV, will be carried out by the implementation of this Partial Consent Decree, (ii) the terms of this Partial Consent Decree constitute a fair and equitable settlement of the issues raised with respect to the November General Election, (iii) this Partial

Consent Decree is intended to and does resolve Plaintiffs' claims with respect to the November General Election; and (iv) this Partial Consent Decree is not intended to and does resolve Plaintiffs' claims generally or with respect to any election held after the November General Election;

NOW, THEREFORE, upon consent of the Parties, in consideration of the mutual promises and recitals contained in this Stipulation and Partial Consent Decree, including relinquishment of certain legal rights, the Parties agree as follows:

#### II. JURISDICTION

This Court has jurisdiction over the subject matter of this action pursuant to Minn. Const. Art. VI, § 3 and Minn. Stat. § 484.01 and has jurisdiction over the Parties herein. The Court shall retain jurisdiction of this Stipulation and Consent Decree for the duration of the term of this Partial Consent Decree for purposes of entering all orders, judgments, and decrees that may be necessary to implement and enforce compliance with the terms provided herein.

#### III. PARTIES

This Stipulation and Partial Consent Decree applies to and is binding upon the following parties:

- A. The State of Minnesota by Steve Simon, Secretary of State of Minnesota; and
- B. All Plaintiffs.

#### IV. SCOPE OF CONSENT DECREE

A. This Stipulation and Partial Consent Decree constitutes a partial settlement and resolution of Plaintiffs' claims against Defendant pending in this Lawsuit. Plaintiffs recognize that by signing this Stipulation and Partial Consent Decree, they are releasing any claims under the Minnesota or U.S. Constitutions that they might have against Defendant with respect to the

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Witness Requirement and Election Day Receipt Deadline in the November General Election.

Plaintiffs' release of claims will become final upon the effective date of this Stipulation and Partial

Consent Decree.

B. The Parties to this Stipulation and Partial Consent Decree acknowledge that this

does not resolve or purport to resolve any claims pertaining to the constitutionality or enforcement

of the Witness Requirement and Election Day Receipt Deadline for elections held after the

November General Election. Neither Party releases any claims or defenses with respect to the

Witness Requirement and Election Day Receipt Deadline related to elections occurring after the

November General Election.

C. The Parties to this Stipulation and Partial Consent Decree further acknowledge that

by signing this Stipulation and Partial Consent Decree, the Parties do not release or waive the

following: (i) any rights, claims, or defenses that are based on any events that occur after they sign

this Stipulation and Partial Consent Decree, (ii) any claims or defenses that are unrelated to the

allegations filed by Plaintiffs in this Lawsuit, and (iii) any right to institute legal action for the

purpose of enforcing this Stipulation and Partial Consent Decree or defenses thereto.

D. By entering this Stipulation and Partial Consent Decree, Plaintiffs are partially

settling a disputed matter between themselves and Defendant. The Parties are entering this

Stipulation and Partial Consent Decree for the purpose of resolving a disputed claim, avoiding the

burdens and costs associated with the costs of a preliminary injunction motion and hearing, and

ensuring both safety and certainty in advance of the November General Election. Nothing in this

Stipulation and Partial Consent Decree constitutes an admission by any party of liability or

wrongdoing. The Parties acknowledge that a court may seek to consider this Stipulation and Partial

Consent Decree, including the violations alleged in Plaintiffs' Complaint, in a future proceeding distinct from this Lawsuit.

### CONSENT DECREE OBJECTIVES

In addition to partially settling the claims of the Parties, the objective of this Stipulation and Partial Consent Decree is to ensure that Minnesota voters can safely and constitutionally exercise the franchise in the November General Election, and to ensure that election officials have sufficient time to implement changes for the November General Election and educate voters about these changes before voting begins.

#### VI. **INJUNCTIVE RELIEF**

### IT IS HEREBY ORDERED, ADJUDGED, AND DECREED FOR THE REASONS STATED ABOVE THAT:

- For the November General Election Defendant shall not enforce the Witness A. Requirement, with respect to voting only, as set out in Minn. Stat. § 203B.07, subd. 3 (1) and (2), that each absentee ballot and designated mail ballot for voters previously registered in Minnesota be witnessed by a registered Minnesota voter, a notary, or person otherwise authorized to administer oaths, Minn. Stat. § 204B.45 - .46, and Minn. R. 8210.3000.
- B. For the November General Election Defendant shall not enforce the Election Day Receipt Deadline for mail-in ballots, as set out in Minn. Stat. §§ 203B.08 subd. 3, 204B.45, and 204B.46 and Minn. R. 8210.2200 subp. 1, and 8210.3000, that ballots be received by 8:00 p.m. on Election Day if delivered by mail. Instead, the deadline set forth in paragraph VI.D below shall govern.

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C. Defendant shall issue guidance instructing all relevant local election officials to

count all absentee and designated mail ballots in the November General Election, as long as they

are otherwise validly cast by voters who registered in Minnesota before casting their absentee or

designated mail ballot. No witness signature will be required on those ballots.

D. Defendant shall issue guidance instructing all relevant local election officials to

count all mail-in ballots in the November General Election that are otherwise validly cast and

postmarked on or before Election Day but received by 8 p.m. within 5 business days of Election

Day (i.e., seven calendar days, or one week). For the purposes of this Stipulation and Partial

Consent Decree, postmark shall refer to any type of imprint applied by the United States Postal

Service to indicate the location and date the Postal Service accepts custody of a piece of mail,

including bar codes, circular stamps, or other tracking marks. Where a ballot does not bear a

postmark date, the election official reviewing the ballot should presume that it was mailed on or

before Election Day unless the preponderance of the evidence demonstrates it was mailed after

Election Day.

E. Defendant shall issue instructions to include with all absentee ballots and

designated mail ballots—or issue guidance instructing all relevant local election officials to

modify, amend, or print the instructions accompanying each absentee ballot and designated mail

ballot—to inform voters that any absentee ballot or designated mail ballot cast by a previously

registered voter in the November General Election without a witness signature will not be rejected

on that basis and that the witness signature line and associated language for witnesses to certify a

previously registered voter's ballot, Minn. Stat. §§ 203B.07, subd. 3 (1) and (2), 204B.45,

204B.46; Minn. R. 8210.2200, subp.1; Minn. R. 8210.3000, be removed from the certification of

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eligibility altogether for absentee ballot and designated mail ballot materials sent to previously

registered voters.

F. Defendant shall issue instructions to include with all absentee and designated mail

ballots—or issue guidance instructing all relevant local election officials to modify, amend, or

print instructions accompanying each absentee and designated mail ballot—to inform voters that

any absentee or designated mail ballot cast in the November General Election and postmarked on

or before Election Day and received by 8 p.m. within 5 business days of Election Day (i.e., seven

calendar days, or one week) will be counted.

G. Defendant shall take additional reasonable steps to inform the public that the

Witness Requirement for voting will not be enforced for the November General Election and issue

guidance instructing all relevant city and county election officials to do the same.

H. Defendant shall take additional reasonable steps to inform the public that the

Election Day Receipt Deadline will not be enforced for the November General Election and that

any absentee or designated mail ballot cast in the November General Election and postmarked on

or before Election Day and received by 8 p.m. within 5 business days of Election Day (i.e., seven

calendar days, or one week) will be counted.

I. Plaintiffs will withdraw their Motion for Temporary Injunction for the November

General Election, filed on July 2, 2020, and will not file any further motions for injunctive relief

for the November General Election based on the claims raised in their Complaint of May 13, 2020.

J. In accordance with the terms of this Stipulation and Partial Consent Decree, the

Parties shall each bear their own fees, expenses, and costs incurred as of the date of this Order with

respect to Plaintiffs' claims raised as to the November General Election against Defendant.

## VII. ENFORCEMENT AND RESERVATION OF REMEDIES

The Parties to this Stipulation and Partial Consent Decree may request relief from this Court if issues arise concerning the interpretation of this Stipulation and Partial Consent Decree that cannot be resolved through the process described below. This Court specifically retains continuing jurisdiction over the subject matter hereof and the Parties hereto for the purposes of interpreting, enforcing, or modifying the terms of this Stipulation and Partial Consent Decree, or for granting any other relief not inconsistent with the terms of this Partial Consent Decree, until this Partial Consent Decree is terminated. The Parties may apply to this Court for any orders or other relief necessary to construe or effectuate this Stipulation and Partial Consent Decree or seek informal conferences for direction as may be appropriate. The Parties shall attempt to meet and confer regarding any dispute prior to seeking relief from the Court.

If either Party believes that the other has not complied with the requirements of this Stipulation and Partial Consent Decree, it shall notify the other Party of its noncompliance by emailing the Party's counsel. Notice shall be given at least one business day prior to initiating any action or filing any motion with the Court.

The Parties specifically reserve their right to seek recovery of their litigation costs and expenses arising from any violation of this Stipulation and Partial Consent Decree that requires either Party to file a motion with this Court for enforcement of this Stipulation and Partial Consent Decree.

#### VIII. GENERAL TERMS

A. Voluntary Agreement. The Parties acknowledge that no person has exerted undue pressure on them to sign this Stipulation and Partial Consent Decree. Each Party is voluntarily

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choosing to enter into this Stipulation and Partial Consent Decree because of the benefits that are

provided under the agreement. The Parties acknowledge that they have read and understand the

terms of this Stipulation and Partial Consent Decree; they have been represented by legal counsel

or had the opportunity to obtain legal counsel; and they are voluntarily entering into this Stipulation

and Partial Consent Decree to resolve the dispute among them.

**B.** Severability. The provisions of this Stipulation and Partial Consent Decree shall

be severable, and should any provisions be declared by a court of competent jurisdiction to be

unenforceable, the remaining provisions of this Stipulation and Partial Consent Decree shall

remain in full force and effect.

C. Agreement. This Stipulation and Partial Consent Decree is binding. The Parties

acknowledge that they have been advised that (i) the other Party has no duty to protect their interest

or provide them with information about their legal rights, (ii) signing this Stipulation and Partial

Consent Decree may adversely affect their legal rights, and (iii) they should consult an attorney

before signing this Stipulation and Partial Consent Decree if they are uncertain of their rights.

**D.** Entire Agreement. This Stipulation and Consent Decree constitutes the entire

agreement between the Parties relating to the constitutionality and enforcement of the Witness

Requirement and Election Day Receipt Deadline as they pertain to the November General

Election. No Party has relied upon any statements, promises, or representations that are not stated

in this document. No changes to this Stipulation and Partial Consent Decree are valid unless they

are in writing, identified as an amendment to this Stipulation and Partial Consent Decree, and

signed by all Parties. There are no inducements or representations leading to the execution of this

Stipulation and Partial Consent Decree except as herein explicitly contained.

- E. Warranty. The persons signing this Stipulation and Partial Consent Decree warrant that they have full authority to enter this Stipulation and Partial Consent Decree on behalf of the Party each represents, and that this Stipulation and Partial Consent Decree is valid and enforceable as to that Party.
- F. Counterparts. This Stipulation and Partial Consent Decree may be executed in multiple counterparts, which shall be construed together as if one instrument. Any Party shall be entitled to rely on an electronic or facsimile copy of a signature as if it were an original.
- Effective Date. This Stipulation and Partial Consent Decree is effective upon the date it is entered by the Court. Defendant agrees to continue to initiate and implement all activities necessary to comply with the provisions of this Stipulation and Partial Consent Decree pending entry by the Court.

#### IX. **TERMINATION**

This Stipulation and Partial Consent Decree shall remain in effect through the certification of ballots for the November General Election. The Court shall retain jurisdiction to enforce the terms of the Partial Consent Decree for the duration of this Partial Consent Decree. This Court's jurisdiction over this Stipulation and Partial Consent Decree shall automatically terminate after the certification of all ballots for the November General Election.

THE PARTIES ENTER INTO AND APPROVE THIS STIPULATION AND PARTIAL CONSENT DECREE AND SUBMIT IT TO THE COURT SO THAT IT MAY BE APPROVED AND ENTERED. THE PARTIES HAVE CAUSED THIS STIPULATION AND CONSENT DECREE TO BE SIGNED ON THE DATES OPPOSITE THEIR SIGNATURES.

#### SECRETARY OF STATE OF MINNESOTA

Dated: July 17, 2020

By: Oteve Pinno Steve Simon Secretary of State

#### GREENE ESPEL PLLP

Dated: <u>July 17, 2020</u> \_\_\_\_\_

By: /s/ Sybil L. Dunlop

Sybil L. Dunlop (Reg. No. 390186) Samuel J. Clark (Reg. No. 388955) 222 South Ninth Street, Suite 2200

Minneapolis, MN 55402 Phone: (612) 373-0830 Fax: (612) 373-0929

Email: SDunlop@GreeneEspel.com Email: SClark@GreeneEspel.com

#### PERKINS COIE LLP

Marc E. Elias\*
Amanda R. Callais\*
700 13th St. N.W., Suite 600
Washington, D.C. 20005-3960

Phone: (202) 654-6200 Fax: (202) 654-9106

Email: MElias@perkinscoie.com Email: ACallais@perkinscoie.com

Abha Khanna\*

1201 Third Avenue Suite 4900

Seattle, WA 98101-3099 Phone: (206) 359-8312 Fax: (206) 359-9312

Email: AKhanna@perkinscoie.com

Charles G. Curtis, Jr.\*
33 East Main Street, Suite 201
Madison, Wisconsin 53703-3095

Phone: (608) 663-7460 Fax: (608) 663-7499

Email: CCurtis@perkinscoie.com

\*Admitted pro hac vice

Attorneys for Plaintiffs

IT IS SO DECREED AND ORDERED. JUDGMENT SHALL BE ENTERED IN ACCORDANCE WITH THE FOREGOING CONSENT DECREE.

Dated: August 3, 2020

Lara Lluving

The Honorable Judge Sara Grewing Judge of District Court

# EXHIBIT C TO THE DECLARATION OF JASON MARISAM

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STATE OF MINNESOTA

DISTRICT COURT

62-CV-20-3149

**COUNTY OF RAMSEY** 

SECOND JUDICIAL DISTRICT

Robert LaRose, Teresa Maples,

Mary Samson, Gary Severson,

Minnesota Alliance for Retired Americans Educational Fund

Plaintiffs,

**ORDER** 

VS.

Minnesota Secretary of State, Steve Simon, in his official capacity, Defendant.

The above named matter came before this Court on July 31, 2020 on Proposed Intervenors the Republican Party of Minnesota, the Republican National Committee, and the National Republican Congressional Committee's motion to intervene, and Plaintiffs' and Defendant's requests that the Court enter the Proposed General Election Consent Decree.

Based on the pleadings, arguments and submissions of counsel, the Court makes the following:

#### Order

- 1. The motion of the Republican Party of Minnesota, the Republican National Committee, and the National Republican Congressional Committee's ("the Committees") to intervene as a matter of right is denied.
- 2. The motion of the Committees to intervene on a permissive basis is **granted.**
- 3. The request by the Committees to vacate the primary election consent decree is **denied**.
- 4. The request by the Plaintiffs and the Defendant to enter the General Election Consent Decree is granted.
- 5. The attached memorandum is incorporated herein.

Dated: August 3, 2020

BY THE COURT:

Sara Grewing

Judge of District Court

Lara Lluving

Plaintiffs Robert LaRose, Teresa Maples, Mary Samson, Gary Severson, and Minnesota Alliance for Retired Americans Educational Fund, have sued Secretary of State Steve Simon alleging that Minnesota's witness requirement for absentee ballots as well as the postmark rule for receipt of absentee ballots are unconstitutional burdens on the right to vote as applied during the COVID-19 pandemic.

#### BACKGROUND

#### 1. The COVID-19 pandemic

A novel coronavirus has killed more than 154,471 Americans and continues to spread throughout the country. Centers for Disease Control Prevention, and https://www.cdc.gov/coronavirus/2019-ncov/cases-updates/us-cases-deaths.html. As of this writing, more than 56,560 Minnesotans have been infected with COVID-19 and 1,616 have died. Minnesota Dept. of Health https://www.health.state.mn.us/diseases/coronavirus/situation.html (last visited August 3, 2020).

The virus spreads through interpersonal contact and through respiratory droplets spread by a carrier of the virus. See Troisi Expert Decl. ¶¶ 10, 17–19. COVID-19 is highly contagious, and these numbers likely underrepresent the virus's spread. *Id*.

Americans will need to take extensive precautions to protect themselves from COVID-19, including by social distancing, until a vaccine is developed and made available for mass distribution, which will not be until 2021 at the earliest. Troisi Expert Decl. ¶¶ 22–26; Ex. 4, at 4. In the meantime, the CDC estimates 92 to 95 percent of Americans remain susceptible to the virus. Ex. 5; see also Troisi Expert Decl. ¶ 12–13. The CDC Director predicts that this fall—right when Americans start heading to the polls to vote in the general election—is likely to see another wave of infections "even more difficult than the one we just went through." Ex. 6, at 1; see also Troisi

Expert Decl. ¶¶ 22, 28.

#### 2. Minnesota's response

Governor Tim Walz anticipates that Minnesota might be one of the last states to reach a peak of infections, and the first peak is expected to come at the same time other states begin to see a second wave of illnesses this fall. Ex. 7, at 3. In the meantime, 34 states—including Minnesota—are currently experiencing an increase in cases as states begin to reopen from shelter-in-place orders. Troisi Expert Decl. ¶¶ 9, 21–22.

On March 13, 2020, Governor Tim Walz declared a peacetime state of emergency in response to the public health threat posed by COVID-19, "to protect all Minnesotans by slowing the spread of COVID-19" in Executive Order 51 20-01. On March 25, Governor Walz directed all Minnesotans to remain in their homes subject to some limited exceptions, pursuant to Executive Order 20-20. Governor Walz extended that order's restrictions on April 13 in Executive Order 20-35, on May 13 in Executive Order 20-53, on June 12 in Executive Order 20-75, and again on July 13 in Executive Order 20-78. The current peacetime state of emergency is set to expire on August 12, 2020.

In addition, the Minnesota Department of Health ("MDH") has issued guidance urging all Minnesotans to "[s]tay home as much as possible, stay at least 6 feet from other people." Moran Decl., Ex. 15. The MDH further recommends against large public gatherings, especially indoor gatherings, because when groups of people gather in places like churches, schools, or other public buildings, transmission can be "particularly effective." *Id.*, Ex. 17.

According to the 2014-2018 American Community Survey, between 26 and 28.4% of households in Minnesota consist of an individual living alone. Maples Decl. ¶¶ 10–11. Nearly 40% of those living alone are age 65 or older. *Id.* Another 175,000 households consist of a single

parent with children under the age of 18. Id. In total, over 36% of individuals in Minnesota live in a household without another person who may be able to serve as a witness for a mail absentee

ballot. Id.

Two of the named Plaintiffs here, Teresa Maples and Mary Samson live alone and are immunocompromised with mobility issues. Maples ¶ 10; Samson ¶ 6. Plaintiffs assert that these voters and others like them must not only find someone to witness their ballot, but they must leave their home or invite someone into it to obtain the witness's signature, risking exposure to the virus and diminishing the safety benefits of voting by mail. See Troisi Expert Decl. ¶¶ 10, 17–20, 29–

31.

4. Minnesota's absentee ballot requirements

Under Minnesota law, any eligible voter may vote by absentee ballot. See Minn. Stat. § 203B.02, subd. 1. A voter may apply for an absentee ballot at any time at least one day before the election. Minn. Stat. § 203B.04. When the county auditor or municipal clerk receives an absentee ballot application, the registrar mails the applicant a sealed envelope containing the unmarked ballot, instructions for completing the ballot, and an envelope for resealing the marked ballot. Minn. Stat. § 203B.07, subd. 1–3.

The resealing envelope has "[a] certificate of eligibility to vote by absentee ballot printed

on the back" on which the voter must include personal identification information, such as the last

four digits of their social security number, or their driver's license number, or state identification

number. This certificate "must also contain a statement to be signed and sworn by the voter

indicating that the voter meets all the requirements established by law for voting by absentee

ballot." *Id.* at subd. 3.

After a voter marks her ballot, she must (1) seal the ballot in its envelope, (2) sign the eligibility certificate on the back, and (3) have a witness sign the eligibility certificate. *Id.* at subd 3. The witness must be "registered to vote in Minnesota [or be] a notary public or other individual authorized to administer oaths." *Id.* By signing the eligibility certificate, the witness attests that the ballot was shown to him "unmarked," that "the voter marked the ballot in [his] presence without showing how they were marked," or if unable to physically mark the ballot, "that the voter directed another individual to mark them." *Id.* 

When absentee ballots are counted, two or more election officers form a "ballot board" to examine each absentee ballot envelope. As relevant here, a ballot will be deemed accepted if a majority of the ballot board is satisfied that: (1) the voter's name and address match her application; (2) the signed envelope matches the identification number on the application; (3) the envelope includes a "certificate [that] has been completed," including a witness signature; and (4) the voter has not voted twice in that election. Minn. Stat. § 203B.121, subds. 2(b)(1–6).

A ballot must be rejected if any of these criteria – including lacking a witness signature – are not satisfied. Minn. Stat. § 203B.121, subd. 2(c)(1). Moreover, a ballot must be received on Election Day by 8:00 pm in order to be counted. §§ 203B.08 subd. 3; 204B.45; 204B.46, Minn. R .8210.2200, subp. 1 & 8210.3000.

#### 5. Post Office Concerns in Minnesota and Nationwide

Based on data from other states, as well as Minnesota's historically high voter turnout rate, experts anticipate that as many as 1.5 million Minnesotans may cast their ballots via mail in November 2020. Mayer Aff ¶ 66. More than 500,000 ballots have been requested so far for Minnesota's August 11 primary, compared with 54,000 requests made by this time in 2018. *See*, Kim Hyatt, *COVID-19 Sparks 'Tidal Wave' of Mail-In Ballots Across Minnesota*, Minneap. Star-

Trib. (August 2, 2020), https://www.startribune.com/covid-19-sparks-tidal-wave-of-mail-inballots-across-minnesota/571982202/.

Other states across the country have seen the increase in absentee balloting due to COVID-

19 stretch the capacity of their election officials and the U.S. Postal Service. See Michelle Ye Hee Lee and Jacob Bogage, Postal Service Backlog Sparks Worries that Ballot Delivery Could be Delayed in November, Wash. Post. (July 30, 2020), https://www.washingtonpost.com/politics/postal-service-backlog-sparks-worries-that-ballotdelivery-could-be-delayed-in-november/2020/07/30/cb19f1f4-d1d0-11ea-8d32lebf4e9d8e0d story.html. In states that held primary elections between April and June, the number and percentage of votes cast by mail increased dramatically. Mayer Aff. at ¶ 25. In Wisconsin's April 7, 2020 primary, over 60% of ballots were cast by mail, compared to 5.5% in 2018 (Wisconsin Elections Commission 2020). In Kentucky's June 24, 2020 presidential primary, 80% of voters cast a mail ballot (Gardner, Lee, and Viebeck 2020), compared to 1.5% in 2018. In Nebraska, 84.2% voted by mail in the May 12, 2020 primary, compared to 24% in 2018 (Nebraska Secretary of State 2020). And in Georgia, 57% voted by mail in the June 9, 2020 primary, compared to 5.6% in 2018. *Id*.

In both Ohio and Wisconsin, the increase in mail volume stretched the capacity of the U.S. Postal Service. Mayer Aff. at ¶ 32. In Ohio, voters expressed frustration with delays in obtaining and submitting their absentee ballots. Id. Five days before the April 28 postmark deadline, the Ohio Secretary of State Frank LaRose wrote the Ohio congressional delegation, informing them that problems with mail delivery were affecting absentee voting:

As Ohioans rush to submit their vote-by-mail requests, and our boards work overtime to fulfill them, we are finding that the delivery of the mail is taking far longer than what is published by the United States Postal Service (USPS) as expected delivery times. Instead of first-class mail taking 1-3 days for delivery, we have heard wide reports of it taking as long as 7-9 days. As you can imagine, these delays mean it is very possible that many Ohioans who have requested a ballot may not receive it in time.

Id.

In addition, the Deputy Assistant Inspector General for the United States Postal Service has noted that Minnesota's voters are at "high risk" of their ballots not being delivered to voters before an election. Pl Ex. 2.

#### **Procedural History**

On May 13, 2020, Plaintiffs Robert LaRose, Teresa Maples, Mary Samson, Gary Severson, and the Minnesota Alliance for Retired Americans Educational Fund sued Minnesota Secretary of State Steve Simon seeking to enjoin both the enforcement of Minnesota's witness requirement for absentee ballots, as well as the requirement that all absentee ballots be received by 8:00 pm on Election Day.

On June 16, 2020, the Plaintiffs and the Defendant entered into a consent decree in which the Defendant agreed, for the August 11 primary, that he would not enforce the witness requirement for absentee ballots. Primary Consent Dec. at 7. The Defendant further agreed to accept any otherwise validly cast ballot so long as it was postmarked and received at least one day prior to the county canvas (*i.e.* within two days of the Election Day for the August Primary.) *Id.* 

On June 18, the Republican Party of Minnesota, the Republican National Committee, and the National Republican Congressional Committee ("the Committees") filed their motion to intervene and request for an expedited hearing to be heard on the merits of their opposition to the primary consent decree. The Court denied the Committees request for an expedited hearing since they were not parties to the litigation, but allowed the Committees to provisionally participate in briefing and argument on July 31, 2020.

On July 2, Plaintiffs filed their motion for a temporary injunction seeking essentially the same relief in the Primary Consent Decree for the general election. On July 17, Plaintiffs and the Defendant filed a stipulation and partial consent decree and asked the Court to enter the agreement as it pertains to the November 2020 general election. The parties sought immediate entry of their consent decree, which the court denied, given the pending intervention motion. The Court then heard all pending matters for argument on July 31, 2020.

#### **ANALYSIS**

#### The Republican Committees' Motions to Intervene

The Proposed Intervenors moved to intervene as a matter of right under Minn. R. Civ. P. 24.01, or in the alternative for permissive intervention under Minn. R. Civ. P. 24.02

### A. The Republican Committees are not entitled to intervene as a matter of right Rule 24.01 provides:

Upon timely application anyone shall be permitted to intervene in an action when the applicant claims an interest relating to the property or transaction which is the subject of the action and the applicant is so situated that the disposition of the action may as a practical matter impair or impede the applicant's ability to protect that interest, unless the applicant's interest is adequately represented by existing parties.

Specifically, a party seeking intervention of right must demonstrate: (1) the application for intervention was timely; (2) an interest relating to the property or transaction which is the subject of the action; (3) circumstances demonstrating that the disposition of the action may as a practical matter impair or impede the party's ability to protect that interest; and (4) the party is not adequately represented by the existing parties. Minneapolis Star & Tribune Co. v. Schumacher, 392 N.W. 2d 197, 207 (Minn. 1986) (citing Minn. R. Civ. P. 24.01). Would-be intervenors must satisfy all of these factors. Luthen v. Luthen, 596 N.W.2d 278, 280–81 (Minn. App. 1999).

#### 1. The Republican Committees' attempted intervention was timely

The Republican Committees contend that they acted with diligence in filing their motion. They contend that they sought intervention less than two days after the Plaintiffs and the Defendant filed their proposed consent decree eliminating the witness and Election Day receipt requirements for the Primary Election. The Committees assert that discovery has not begun, no scheduling order has been entered by the Court, and "no rights have yet been adjudicated between the original parties and no new issues have been introduced which will prejudice either of the original parties." Engelrup v. Potter, 224 N.W.2d 484, 489 (Minn. 1974) (allowing intervention 10 months after action commenced, though not in an elections case); Lamb-Weston/RDO v. Cnty. of Hubbard, No. C5-97-187, C5-98-183, 1998 WL 321023, at \*2 (Minn. Tax Ct. June 15, 1998) (motion for intervention timely where "discovery had just begun").

Plaintiffs strongly urge the Court to find that the Committees were not timely in their motion to intervene that came five weeks after the filing of the summons and complaint. Plaintiffs assert that the need for expediency is obvious, and their month-long delay in attempting to intervene is untimely.

Because the notice to intervene was filed at the earliest stage of this litigation, before discovery began and the Court heard the motions for injunctive relief, the court finds that the Republican Committees made a timely application.

#### 2. The Republican Committees have not demonstrated a sufficient enough interest in the enforcement of the absentee ballot statute to justify intervention

The second factor directs this Court to evaluate whether the Republican Committees have an interest relating to the property or transaction which is the subject of this action. Schumacher, 392 N.W. 2d at 197. In order to intervene as a matter of right, Proposed Intervenors must claim "an interest relating to the property or transaction which is the subject of the action." Miller v.

Astleford Equip. Co., 332 N.W.2d 653, 654 (Minn. 1983). They "must show an interest in the litigation and that [they] will either gain or lose by the judgment between the original parties." Veranth v. Moravitz, 284 N.W. 849, 851 (Minn. 1939). Interests that are "speculative" are insufficient; they must be "direct, substantial and legally protectable." Standard Heating & Air Conditioning Co. v. City of Minneapolis, 137 F.3d 567, 571 (8th Cir. 1998). Similarly, "[a]n undifferentiated generalized interest in the outcome of an ongoing action is too porous a foundation on which to premise intervention as of right." Dalton v. Barrett, No. 2:17-CV-04057, 2019 WL 3069856, at \*4 (W.D. Mo. July 12, 2019).

The Republican Committees assert the following factors as the basis for their intervention:

- 1) The Committees' support of free and fair elections for all Minnesotans;
- 2) The preservation of existing state laws; and
- 3) The interest in ensuring that the Committees are not subject to a broader range of competitive tactics than state law would otherwise allow. See PO MTI 11, 12
- a. The support of free and fair elections for all Minnesotans and the preservation of existing law are interests too generalized to support intervention

Supporting free and fair elections is a laudable goal, and one that all Minnesotans should share. The Republican Committees' assertion of this goal as a particularized right to support intervention is misplaced. Generalized interests are insufficient to support intervention under Rule 24. *See Chiglo v. City of Preston*, 104 F.3d 185, 187 (8th Cir. 1997) (intervention improper where proposed intervenors asserted "a generalized interest in the public benefits" of enforcing an ordinance).

Similarly, an interest in preserving the statutory status quo is a goal that could be shared by millions of Minnesotans. A general ideological interest in enforcing the current law is insufficient to support intervention, particularly when the statutes at issue do not involve the regulation of a party's conduct. See Coal. to Defend Affirmative Action v. Granholm, 501 F.3d 775, 782 (6th Cir. 2007).

b. The preservation of a competitive environment is not sufficient as a matter of law to support intervention as a matter of right in a case involving the witness and **Election Day receipt deadline requirements** 

The Republican Committees rely primarily on Shays v. Fed. Election Comm'n, in support of their argument that protecting the competitive electoral environment is sufficient to justify intervention. 414 F.3d 76, 85 (D.C. Cir. 2005). In Shays, the United States Court of Appeals for the District of Columbia recognized that candidates for public office had standing to challenge Federal Election Commission's regulations under the Bipartisan Campaign Finance Reform Act of 2002. *Id.* at 83. The court reasoned that, as candidates for office, the proposed plaintiffs were among those who benefit from BCRA's restrictions on practices Congress believed to be corrupting. Id. Moreover, the court surmised that no one would suffer more directly than candidates if political rivals were to get elected using illegal financing. *Id.* 

The Court finds the Committees' reliance on *Shays* is somewhat misplaced. This case involves a determination of who is allowed to vote safely, not the regulation of political parties' expenditure of resources. The Committees did not address how they would allocate their resources differently, for example, if Ms. Maples or Ms. Samson voted without the signature of a witness or had their ballots postmarked on Election Day. When pressed at the hearing, the Committees did not claim that a change to the absentee voting requirements would directly harm their electoral prospects, cause them to spend more money, or burden the campaign activity, as was at issue in Shays. Id.

c. The Republican Committees are not the "mirror image" of the Plaintiffs

The Committees also allege that their intervention is justified as a matter of right because they are the "mirror image" of the Plaintiffs. The Committees rely on *Democratic Nat'l Comm. v.* Bostelmann, in which the U.S. District Court for the Western District of Wisconsin granted intervention to the Republican National Committee and Republican Party of Wisconsin in a case brought by the Democratic National Committee and Democratic Party of Wisconsin. No. 20-cv-249, 2020 WL 1505640, at \*5 (W.D. Wis. Mar. 28, 2020).

The Plaintiffs in *Democratic National Committee* are different than the parties at issue here. Clearly, if the Plaintiffs in this case were the opposing committees for President or the Democratic National Committee in general, there would be no doubt that the Committees would be entitled to intervention as a matter of right, as "mirror image" parties. That is not the case here organizational Plaintiffs are a 501(c)(4) nonprofit made up of mostly retirees from public and private sector unions. There is nothing in the record to suggest that the Committees' interest "mirrors" that of the Plaintiffs or their members.

#### 3. The Republican Committees have not demonstrated an interest that would be impaired or impeded by the non-enforcement of the witness requirements

The third factor directs this Court to consider the circumstances revealing that the disposition of the action may as a practical matter impair or impede the party's ability to protect that interest. Schumacher, 392 N.W.2d 197 at 207. This factor should be viewed from a practical standpoint rather than one based on strict legal criteria. *Id*.

The Committees argue that if the Plaintiffs' action succeeds, then the witness requirements and the Election Day receipt deadline, and their safeguards against voter fraud, ballot tampering, and undue influence in voting will be upended in the run-up to a general election. The Committees argue that Plaintiffs' lawsuit and the parties' proposed consent decree aims to "short circuit the democratic process" by enjoining in their entirety two state laws that "embody[] the will of the people" and reflect the Legislature's appropriate effort to uphold the integrity of Minnesota's elections. See Committees' Br. (citing Voting for Am., v. Steen, 732 F.3d 382 (5th Cir. 2013).

The Court remains concerned that the Committees have not demonstrated how the waiver of the witness requirement or the Election Day receipt deadline would undermine electoral integrity. There is nothing of note in the record that suggests that waiving the witness requirement or counting otherwise valid ballots postmarked by Election Day would result in fraud. Certainly, there are safeguards in place to prevent such fraud, which is punishable as a felony in Minnesota.

Moreover, the Committees' interest in Minnesota holding "free and fair elections" is indistinguishable from the interest of any Minnesota voter. The relief sought by the Plaintiffs and contemplated in part by the consent decree are non-partisan: a suspension of the witness requirement and the Election Day receipt deadline during the pendency of the COVID-19 epidemic. The benefits of the relief sought will accrue equivalently to all voters, whether they cast their votes for Democrats, Republicans, Independents, or the Green Party—no voters would be obligated to endanger themselves and their community to exercise their right to vote, and those who cast their ballots on Election Day would be counted. The Committees present no evidence that the outcome of this litigation will specifically disadvantage their candidates or the voters they represent.

#### 4. The Secretary of State does not adequately represent the Committees' interest

The final factor for consideration by this Court relates to the adequacy of the representation of the Republican Committees' interest by the Defendant. Schumacher, 392 N.W.2d 197 at 207. The inquiry here is whether the Secretary of State and its representation by the Office of the Minnesota Attorney General would sufficiently represent the interests of the Republican Committees.

The Committees argue that they have a minimal burden of showing that the existing parties may not adequately represent their interests. Faribo Farms v. County of Dodge, 464 N.W.2d 568, 570 (Minn. App. 1990). The Committees argue that two decisions made recently by the Secretary to abandon any defense of the witness requirements without notice to the public or the Committees are enough to justify a finding that the Secretary's representation is insufficient. Further, the Committees argue that, as discussed below, there are courts across the country that have found the witness requirement constitutional.

As the Committees have plainly stated, they should not be forced to rely on "doubtful friends" to represent their interests. Broadly, the Committees maintain that courts express skepticism over government entities serving as adequate advocates for private parties, "often conclud[ing] that governmental entities do not adequately represent the interests of aspiring intervenors." Fund for Animals, Inc. v. Norton, 322 F.3d 728, 736 (D.C. Cir. 2003).

The Defendant contends that courts presume that the defense of a statute from a state official is adequate as a matter of law "because in such cases the government is presumed to represent the interests of all its citizens." N.D. ex rel. Stenehjem v. United States, 787 F.3d 918, 921 (8th Cir. 2015). The Secretary maintains that he is providing an adequate defense to the challenged laws and argues that this Court need look no further than his aggressive defense of the ballot request statute in this case and his opposition to Plaintiffs' motion for injunctive relief.

Plaintiffs advance a similar argument, and argue that the Committees bear a heavier burden on this factor because the Secretary has a constitutional and statutory mandate to support the Committees' interests. Swinton v. SquareTrade, Inc., 960 F.3d 1001, 1005 (8th Cir. 2020); see also Oakland Bulk & Oversized Terminal, LLC v. City of Oakland, 960 F.3d 603, 620 (9th Cir. 2020) ("To establish inadequate representation, Intervenors needed to make a "very compelling showing" because: (1) a governmental entity (Oakland) was already acting on behalf of their interests in this action: and (2) Intervenors and Oakland share the same ultimate objective of upholding the Ordinance and Resolution.").

This Court is persuaded by the authority advanced by Plaintiffs which raises the bar for demonstrating inadequacy when one of the parties is an arm or agency of the government and the case concerns a matter of sovereign interest. Stenehjem, 787 F.3d 918 at 921. The Court is further persuaded, however, that the Committees have sufficiently demonstrated that inadequacy because the Secretary has twice conceded the witness requirement in *LaRose* as well as in United States District Court. See League of Women Voters v. Simon, No. 20-1205, Tr.1-13 (D. Minn. Jun. 23, 2020). For these reasons, the Court finds that the Committees' interests are not sufficiently represented by the Secretary of State.

The Committees' have failed to demonstrate factors two and three under Minn. R. Civ. P. 24.01 and Schumacher. Because the Committee must satisfy all four factors to succeed, their motion to intervene as a matter of right is denied. Luthen, 596 N.W.2d 278, at 281.

#### B. The Republican Committees are entitled to permissive intervention

Under Rule 24.02, a court may grant intervention "upon timely application . . . when an applicant's claim or defense and the main action have a common question of law or fact." Minn. R. Civ. P. 24.02. Moreover, in exercising its discretion under Rule 24.02, "the court shall consider whether the intervention will unduly delay or prejudice the adjudication of the rights of the original parties." *Id*.

As discussed above, the Committees' application to intervene is timely under both 24.01 and 24.02. See, e.g., State ex rel. Lucero v. CSL Plasma, Inc., No. 27-CV-19-3629, 2020 WL 807356, at \*10 (Minn. Dist. Ct. Feb. 12, 2020).

Second, it is undisputed that the Committees will raise defenses that share many common questions with the claims and defenses of the parties. Plaintiffs allege that the disputed statutes surrounding absentee balloting are unconstitutional. The Committees contend that these state election laws are valid and enforceable.

Third, the Committees argue that allowing their intervention will not lead to delay or prejudice. This case is in the earliest of stages, and Committees' participation will add no additional delay.

In considering the Committees' motion for permissive intervention, this Court is mindful of the arguments advanced by the Plaintiffs and the Defendant that the Court should evaluate whether granting permissive intervention would prompt other similarly situated non-parties to seek intervention. Ohio Valley Environmental Coalition v. McCarthy, 313 F.R.D. 10, 30 (S.D. W. Va. 2015). Certainly the risk of opening the door to a parade of would-be intervenors is significant, particularly when considering the general election is 92 days away. A strict adherence to the timeliness requirement of 24.02 should address the parties' very valid concerns.

In making its narrow ruling that permissive, though not mandatory, intervention is appropriate, this Court is mindful of the fact that public trust in government remains at an all-time low. See i.e. Matt Stevens, Falling Trust in Government Makes It Harder to Solve Problems, Americans Say, N.Y. Times, July 22, 2019 https://www.nytimes.com/2019/07/22/us/politics/pew-trust-distrustsurvey.html. The once-in-a-century global pandemic and the attendant societal unease likely only exacerbates that anxiety and distrust. The Court is concerned that the denial of a seat at the litigation table to the Committees would only erode public confidence in the electoral process in this unique global moment. The Committees' motion for permissive intervention is granted.

The Plaintiffs' and Defendant's Motion to Approve the Consent Decree

At the outset, the Plaintiffs and Defendants disagree with the Committees on the legal standard under which this Court should review the proposed General Election Consent decree. <sup>1</sup> It is undisputed that a consent decree is the product of a negotiated agreement. City of Barnum v. Sabri, 657 N.W.2d 201, 205 (Minn. App. 2003); see also Elsen v. State Farmers Mut. Ins., N.W.2d 652, 655 (Minn. 1945) (describing a consent decree as a "mere agreement of the parties under sanction of the court" to be interpreted as an agreement). While this Court may assess the fairness of such an agreement before approving it, "the court does not, in a consent decree, judicially determine the rights of the parties." Hentschel v. Smith, 153 N.W.2d 199, 206 (Minn. 1967) (quoting Hafner v. Hafner, 54 N.W.2d 854, 858 (Minn. 1952)). Plaintiffs and Defendant argue that a trial court's power to set aside a consent decree is limited to three instances: fraud, mistake, or the absence of real consent. Hafner, 54 N.W.2d at 857.

The Committees argue that the judicial review of a consent decree requires a far more thorough inquiry and fairness finding as articulated by the federal court, namely whether the plaintiff has made an adequate showing of a likelihood of success on the merits of the claim. See Flinn v. FMC Corp., 528 F.2d 1169, 1172 (4th Cir. 1975). Courts can gauge "the fairness of a proposed compromise" only by "weighing the plaintiff's likelihood of success on the merits against the amount and form of the relief offered." Carson v. Am. Brands, Inc., 450 U.S. 79, 88 n.14 (1981).<sup>2</sup>

<sup>&</sup>lt;sup>1</sup> The Committees did not address the primary election consent decree at argument, nor did they petition any higher court for relief via writ following the Court's entry of the primary election consent decree. Therefore, it is the Court's position that primary election consent decree remains in place as entered, and that similar analysis regarding fairness applies to both agreements.

<sup>&</sup>lt;sup>2</sup> As discussed above, this Court allowed the Committees to participate in the argument regarding the entry of the consent decree in the interest of judicial economy, despite not yet having determined at argument that they would be granted leave to intervene under Rule 24.02.

This Court is required and bound to follow Minnesota law interpreting the Minnesota Statutes. Because the Court would reach the same result under the federal standards, this Court will analyze the proposed entry of the consent decree under both Minnesota and federal law.

1. The entry of the proposed consent decree is appropriate under Minnesota law

Plaintiffs and Defendant assert that the Committees are relying on non-controlling federal law, and Plaintiffs assert that the court need look no further than *Hafner's* permissive language that a court "may look to see that a settlement is fair." 54 N.W.2d at 858. It follows, Plaintiffs assert, that this Court should have no problem entering the Consent Decree, which is fair, preservative of the rights of the citizens of the State of Minnesota, and the agreement of the parties as the result of arms-length settlement negotiations.

It is undisputed that the proposed consent decree is non-partisan and waives the Witness Requirement and Election Day receipt deadline only with regard to the November 2020 election. The Plaintiffs and Defendant came to this agreement due to the fact that COVID-19 related illnesses and deaths in Minnesota continue to rise and have no real possibility of abatement by November. General Election Consent Decree at 2-3. If entered by this Court, Minnesotans will not have to risk their health and safety to comply with the Witness Requirement in order to vote absentee in the general election. The Consent Decree further affords Defendant sufficient time to provide instruction and certainty to voters and local election officials before absentee voting begins on September 18.

Perhaps most notably, the proposed Consent Decree reflects a limited compromise of Plaintiffs' claims, as it does not provide relief to Plaintiffs regarding their claim pertaining to universal mailing of absentee ballots.

The Committees offer no evidence that the Proposed General Election Consent Decree is the product of fraud, neglect or the absence of consent. As such, under Minnesota state law, the proposed consent decree should be entered.

2. The entry of the proposed consent decree is fair and appropriate under the federal standard because the Plaintiffs are likely to succeed on the merits of their claim

#### a. The U.S. District Court decision

Most significant to this Court, the Committees argue that this Court should decline to enter the proposed consent decree because the United States District Court for the District of Minnesota declined to enter a nearly identical consent decree for the August primary. *League of Women Voters v. Simon*, No. 20-1205, Tr. 1–13 (D. Minn. Jun. 23, 2020). As discussed at argument, this Court is deeply concerned about two courts in Minnesota reaching opposite conclusions, especially on something so essential to a functioning government as the right to vote.

Unlike the claims advanced in the U.S. District Court case, this case relies both on claims raised under the Minnesota Constitution and the U.S. Constitution. Compl. at 16. It is undisputed that Minnesota courts can find greater protections of individual rights than the U.S. Constitution. *Kahn v. Griffin*, 701 N.W.2d 815, 828 (Minn. 2005) (noting "it is now axiomatic that we can and will interpret our state constitution to afford greater protections of individual civil and political rights than does the federal constitution"). Moreover, this Court, unlike the U.S. District Court, is bound by *Erlandson v. Kiffmeyer*, in which the Minnesota Supreme Court found that election officials were required to mail replacement ballots to all voters who requested them following the death of Senator Paul Wellstone. 659 N.W.2d 724, 726 (Minn. 2003).

In writing for the Court, Chief Justice Blatz found as follows:

The purpose of the absentee ballot is to enfranchise those voters who cannot vote in person. To prohibit mailing of replacement absentee ballots to absentee voters who continue to be unable to vote or pick up a ballot in person disenfranchises the very people the absentee voter

laws are intended to benefit. In the total absence of any rational explanation, allowing some absentee voters to revote with replacement ballots but denying that opportunity to the very group for which absentee voting is designed by prohibiting the mailing of replacement absentee ballots is a denial of equal protection that requires remedial action.

Erlandson, 659 N.W.2d at 734.

As such, this Court is not bound by the same overbreadth reasoning that drew the federal court to the opposite conclusion.

#### b. Other federal and state Authority

#### i. The witness requirement

The Committees next assert that the proposed entry of the Consent Decree should be denied based on the authority from courts across the country that have upheld the witness requirements. The Committees cite one U.S. Supreme Court order and three cases from other jurisdictions that do not reflect the unique procedural posture of this case. See Merrill v. People First of Ala., No. 19A1063, Order (S. Ct. July 2, 2020) ("Merrill Order") (Justice Thomas granting a stay without analysis of an 11th Circuit ruling allowing curbside voting and exemptions from some absentee requirements in three counties in Alabama); Democratic Nat'l Comm. v. Bostelmann, No. 20-1538, 2020 WL 3619499 (7th Cir. Apr. 3, 2020) (finding that a Wisconsin U.S. District Court exceeded the limitations of appropriate injunctive relief); Miller v. Thurston, No. 20-2095, 2020 WL 3240600 (8th Cir. June 15, 2020) (addressing the "wet signature" requirement for Alabama witnesses); Clark v. Edwards, -- F. Supp. 3d --, 2020 WL 3415376 (M.D. La. June 22, 2020) (dismissed on standing).<sup>3</sup>

<sup>&</sup>lt;sup>3</sup> The Committees also offer *Nielsen v. DeSantis* in support of its argument that other courts have found the witness requirement constitutional, but this case. Dealt primarily with ballot deadline issues and ballot access for blind voters. No. 4:20-cv-236 (N.D. Fla. June 24, 2020)

The Plaintiffs in turn offer three cases from other districts that *do* more closely reflect the unique procedural posture of this case, namely *Thomas v. Andino*, - F. Supp. 3d. -, 2020 WL 2617329 (D.S.C. May 25, 2020) (enjoining the South Carolina State Election Commission from enforcing the witness requirement); *League of Women Voters of Va. v. Va. State Bd. of Elections*, - F. Supp. 3d --, 2020 WL 2158249 (W.D. Va. May 5, 2020) (approving a consent decree between the parties that would enjoin the enforcement of Virginia's witness requirement); *Common Cause Rhode Island et al v. Nellie M. Gorbea et al.* 2020 WL 4365608 (D. R.I. July 30, 2020) (approving a consent decree between the parties that would enjoin the enforcement of Rhode Island's witness requirement).

As such, this Court is not persuaded by the Committees' argument that the vast weight of authority rests in the Committee's favor on the witness requirement question: indeed, the three district court cases that address the very same question in other states are conclusions in favor of the Plaintiffs. Moreover, it is reasonable for the Secretary to conclude that the Plaintiffs would be likely to prevail in the instant case.

#### ii. The Election Day receipt deadline

The Committees next point the Court to the Pennsylvania Supreme Court, which has rejected requests to postpone the Election Day receipt deadline for mail-in and absentee ballots submitted in Pennsylvania's June primary. *See, e.g., Dis. Rights Pa. v. Boockvar*, No. 83 MM 2020 (May 15, 2020) (per curiam); *Dis. Rights Pa. v. Boockvar*, No. 83 MM 2020 (May 15, 2020) (Wecht, J., concurring).

Again, given that dozens of courts around the country are wrestling with this issue, there is sufficient enough inapposite authority to render the Secretary's decision to enter the consent decree reasonable. *See, e.g. Democratic Nat'l Comm. v. Bostelmann*, No. 20-CV-249-WMC, 2020 WL

1638374, at \*18 (W.D. Wis. Apr. 2, 2020) (extending deadline for the receipt of absentee ballots for the primary election in Wisconsin after the Wisconsin Election Commission agreed to the extension).

#### c. The alleged speculation regarding what COVID-19 will be in November

The Committees next argue that the consent decree rests on mere speculation that COVID-19 will render voting unsafe in November. The Committees argue that the record is devoid of evidence that COVID-19 will be worse in November, or that guidance will develop that will make in-person voting unconstitutionally unsafe. Further, the Committees argue that following basic social distancing practices will render the witness requirement safe for the Plaintiffs, or alternatively, that the Plaintiffs could secure a Zoom account and somehow have a witness approve their ballot while still complying with social distancing.

This Court is not convinced that the Plaintiffs must demonstrate that in-person voting is unconstitutionally unsafe. Rather, Plaintiffs need only show that Minnesotans' right to vote absentee is burdened by the challenged laws. *Kahn v. Griffin*, 701 N.W.2d 815, 832-33 (Minn. 2005); *see also Erlandson v. Kiffmeyer*, 659 N.W.2d 724, 734 (Minn. 2003) ("The purpose of the absentee ballot is to enfranchise those voters who cannot vote in person.").

Moreover, as to the question of voter safety, and with deep respect to Committees' counsel, his clients can't have it both ways. As the Defendant noted at argument, the President's own tweets suggest a recognition that voter safety will be compromised in November. The day before this hearing, the President of the United States tweeted "Delay the Election until people can properly, securely and safely vote???" *See* Donald J. Trump (@realDonaldTrump) Twitter (July 30, 2020, 8:46 a.m.).

Counsel said that he had not seen the President's tweets from the previous day but offered, essentially, that if the President had had the opportunity to fully state his point, he would have acknowledged that Minnesota's voter safety standards are so unique as not implicate the President's safety concerns.

The President's own admissions, as well as the prediction of experts that COVID-19 will likely surge in the fall as the election coincides with the return of cold and flu season, lead the Court to conclude that the safety concerns for the ballot box are not so speculative as to render the Secretary's decision to resolve the Plaintiff's complaints unreasonable. See Kristine A. Moore et al., Part 1: The Future of the COVID-9 Pandemic: Lessons Learned from Pandemic Influenza, in COVID-19: The Cidrap Viewpoint (Ctr. for Infectious Disease Research and Policy, 2020), https://www.cidrap.umn.edu/sites/default/files/public/downloads/cidrap-covid19-viewpointpart1 0.pdf.; Glen Howatt, COVID-19 Cases Could Surge in Fall, Last Two Years, University of Minnesota Report Says Minneap. Star-Trib. (May 3, 2020), https://www.startribune.com/covid-19-cases-could-surge-in-fall-last-2-years-u-report-predicts/570130602/. Indeed, many schools throughout Minnesota will begin the school year remotely over COVID concerns. See Erin Adler, St. Paul Schools Likely to Begin Year With Distance Learning, Minneap. Star-Trib. (July 30, 2020), https://www.startribune.com/st-paul-schools-likely-to-begin-year-with-distancelearning/571962822/. The fact that school districts across the state have determined that hundreds of thousands of Minnesota children will not return to the classroom in September makes the impact of COVID in November far from speculative.

## d. The Plaintiffs' claims that the absentee ballot statutes and the Election Day receipt deadline present an unconstitutional burden

The Committees next argue that the Plaintiffs have failed to demonstrate their likelihood of success on either of their constitutional or Equal Protection claims. The Committee argues that

enforcement of the witness requirement and Election Day receipt deadlines are not the sort of state election laws that raise constitutional questions. See Burdick v. Takushi, 504 U.S. 428, 433 (1992) (recognizing that state election laws "will invariably impose some burden upon individual voters"). "[T]o maintain fair, honest, and orderly elections, states may impose regulations that in some measure burden the right to vote." Kahn v. Griffin, 701 N.W.2d 815, 832 (Minn. 2005) (citing Anderson v. Celebrezze, 460 U.S. 780, 788 (1983)).

At a minimum, it is reasonable for the Secretary to conclude that the Plaintiffs are likely to succeed on their claim that the witness requirement violates the Equal Protection Clause of the Minnesota and U.S. Constitutions. By requiring voters who live alone to place their lives and health in danger in order to exercise their fundamental right to vote, it is reasonable to conclude that the Witness Requirement impermissibly and irrationally denies the fundamental right to vote to those individuals while there is still ongoing community transmission of COVID-19. As in Erlandson, this Court need not resolve whether strict scrutiny or rational basis review is the proper standard here, because in the circumstances of this case the witness requirement would likely not survive even the lowest level of scrutiny. 659 N.W.2d at 734. The Secretary offers no rational basis for the enforcement of the witness requirement, and the Committees' vague references to fraud prevention, without more, are insufficient to suggest a legitimate state interest for enforcing the Witness Requirement during a global pandemic.

Moreover, had the parties not reached a consent decree to suspend the witness requirements for the general election, this Court would have been empowered to grant the preliminary injunction, or sua sponte, find that the requirement, as applied in the current pandemic, unconstitutionally limits voting access, and simply order precisely what the consent decree achieves. See, e.g., Burdick v. Takushi, 504 U.S. 428, 434 (1992) (holding that the constitutionality of election laws depends upon a court's balancing of the character and magnitude of any law burdening the right to vote against the relevant government interest served by the law); *Anderson v. Celebrezze*, 460 U.S. 780, 788 (1983).

Similarly, it is reasonable for the Secretary to conclude that the Plaintiffs are likely to succeed on their Election Day receipt deadline motion. In this unusual global crisis, it is more than reasonable to conclude that a ballot placed with the United States Postal Service quite possibly might not be delivered until on Election Day. It is reasonable for the Secretary to conclude that a ballot posted on or before Election Day should be counted.

#### e. The balancing of the equities

The Committees finally argue that this Court should reject the General Election Consent Decree because waiving the witness requirement is not in the public interest. Certainly, the Plaintiffs and the Secretary of State have sufficiently demonstrated that the consent decree is in the best interests of the people that they represent. It is reasonable for the Secretary to conclude that this waiver of the witness requirement and Election Day deadline is in the best interests of the health, safety, and constitutional rights of Minnesota's voters, and, therefore, in the public interest.

Under either Minnesota or federal law, the proposed General Election Consent Decree is fair and appropriate. The Motion to enter the Consent Decree is granted.

# EXHIBIT D TO THE DECLARATION OF JASON MARISAM

Appellate Case: 20-3139 Page: 62 Date Filed: 10/20/2020 Entry ID: 4967314

No. A20-1040 No. A20-1041



#### STATE OF MINNESOTA IN SUPREME COURT

Robert LaRose, Teresa Maples, Mary Sansom, Gary Severson, and Minnesota Alliance for Retired Americans Educational Fund,

Respondents,

v.

Steve Simon, in his official capacity as Minnesota Secretary of State,

Respondent,

Republican Party of Minnesota, Republican National Committee, and National Republican Congressional Committee,

Appellants.

National Association for the Advancement of Colored People Minnesota-Dakotas Area State Conference; Susan Bergquist; Eleanor Wagner,

Respondents,

v.

Steve Simon, in his official capacity as Minnesota Secretary of State,

Respondent,

Donald J. Trump for President, Inc.; Republican Party of Minnesota; Republican National Committee; and National Republican Congressional Committee,

Appellants.

#### STIPULATION TO DISMISS APPEALS

Appellate Case: 20-3139 Page: 63 Date Filed: 10/20/2020 Entry ID: 4967314

Pursuant to Minn. R. Civ. App. P. 142.01, the parties to the above-captioned consolidated appeals, through their undersigned counsel, hereby stipulate to the voluntary dismissal of each respective appeal. Appellants waive the right to challenge in any other judicial forum the August 3, 2020 Orders and the August 3, 2020 Stipulations and Partial Consent Decrees that formed the basis for the above-captioned consolidated appeals. The parties respectfully request that the Court approve the dismissal of these appeals and order the same, with all parties to bear their own costs and attorney fees.

Appellate Case: 20-3139 Page: 64 Date Filed: 10/20/2020 Entry ID: 4967314

/s/ Benjamin L. Ellison
Benjamin L. Ellison (#392777)
JONES DAY
90 South Seventh Street, Suite 4950
Minneapolis, MN 55402
Phone: (612) 217-8800

Fax: (844) 345-3178 bellison@jonesday.com

John M. Gore
E. Stewart Crosland
JONES DAY
51 Louisiana Avenue, N.W.
Washington, D.C. 20001
Phone: (202) 879-3939
Fax: (202) 626-1700
jmgore@jonesday.com
scrosland@jonesday.com

Counsel for Appellants Republican Party of Minnesota, Republican National Committee, and National Republican Congressional Committee, in No. A20-1040

Counsel for Donald J. Trump for President, Inc., Republican Party of Minnesota, Republican National Committee, and National Republican Congressional Committee, in No. A20-1041

Appellate Case: 20-3139 Page: 65 Date Filed: 10/20/2020 Entry ID: 4967314

KEITH ELLISON Attorney General State of Minnesota

/s/ Jason Marisam

JASON MARISAM (#0398187)
CICELY R. MILTICH (#0392902)
HILLARY A. TAYLOR (#0398557)
Assistant Attorneys General
445 Minnesota Street, Suite 1400
St. Paul, Minnesota 55101-2131
(651) 757-1275 (Voice)
(651) 282-5832 (Fax)
jason.marisam@ag.state.mn.us
cicely.miltich@ag.state.mn.us
hillary.taylor@ag.state.mn.us

Counsel for Steve Simon, in his official capacity as Minnesota Secretary of State, in Nos. A20-1040, A20-1041

Appellate Case: 20-3139 Page: 66 Date Filed: 10/20/2020 Entry ID: 4967314

#### GREENE ESPEL PLLP

#### /s/ Sybil L. Dunlop

Sybil L. Dunlop (Reg. No. 390186) Samuel J. Clark (Reg. No. 388955) 222 South Ninth Street, Suite 2200

Minneapolis, MN 55402 Phone: (612) 373-0830 Fax: (612) 373-0929

Email: SDunlop@GreeneEspel.com Email: SClark@GreeneEspel.com

#### PERKINS COIE LLP

Marc E. Elias Amanda R. Callais 700 13th St. N.W., Suite 600 Washington, D.C. 20005-3960

Phone: (202) 654-6200 Fax: (202) 654-9106

Email: MElias@perkinscoie.com Email: ACallais@perkinscoie.com

#### Abha Khanna

1201 Third Avenue Suite 4900

Seattle, WA 98101-3099 Phone: (206) 359-8312 Fax: (206) 359-9312

Email: AKhanna@perkinscoie.com

Charles G. Curtis, Jr. 33 East Main Street, Suite 201 Madison, Wisconsin 53703-3095

Phone: (608) 663-7460 Fax: (608) 663-7499

Email: CCurtis@perkinscoie.com

Counsel for Robert LaRose, Teresa Maples, Mary Sansom, Gary Severson, and Minnesota Alliance for Retired Americans Educational Fund, in A20-1041

Appellate Case: 20-3139 Page: 67 Date Filed: 10/20/2020 Entry ID: 4967314

#### /s/ Craig S. Coleman

Craig S. Coleman, Bar No. 0325491
Jeffrey P. Justman, Bar No. 0390413
Evelyn Snyder, Bar No. 0397134
Erica Abshez Moran, Bar No. 0400606
Rachel Osdoba, Bar No. 0395573
Hannah M. Leiendecker, Bar No. 0399361
FAEGRE DRINKER BIDDLE & REATH
LLP
2200 Wells Fargo Center 90 South Seventh
Street Minneapolis, MN 55402
Telephone: +1 612 766 7000

Teresa J. Nelson, Bar No. 0269736 David P. McKinney, Bar No. 0392361 AMERICAN CIVIL LIBERTIES UNION OF MINNESOTA 2828 University Avenue Southeast Suite 160 Minneapolis, MN 55414 Telephone: +1 651 645 4097

Theresa J. Lee
Dale E. Ho
Sophia Lin Lakin
AMERICAN CIVIL LIBERTIES UNION
125 Broad Street, 18th Floor
New York, NY 10004
Telephone: +1 212 549 2500

Counsel for National Association for the Advancement of Colored People Minnesota-Dakotas Area State Conference; Susan Bergquist; Eleanor Wagner, in A20-1041

Appellate Case: 20-3139 Page: 68 Date Filed: 10/20/2020 Entry ID: 4967314



#### STATE OF MINNESOTA

#### IN SUPREME COURT

A20-1040 A20-1041

Robert LaRose, et al.,

Respondents,

VS.

Steve Simon, in his official capacity as Minnesota Secretary of State,

Respondent,

Republican Party of Minnesota, et al.,

Appellants.

and

National Association for the Advancement of Colored People Minnesota-Dakotas Area State Conference, et al.,

Respondents,

VS.

Steve Simon, in his official capacity as Minnesota Secretary of State,

Respondent,

Donald J. Trump for President, Inc., et al.,

Appellants.

#### ORDER

The parties have filed a joint stipulation agreeing to the dismissal of these appeals, under Minn. R. Civ. App. P. 142.01.

Based upon all the files, records, and proceedings herein,

IT IS HEREBY ORDERED that *LaRose v. Simon*, No. A20-1040 and *NAACP-Minnesota-Dakotas v. Simon*, No. A20-1041 are each dismissed pursuant to Minn. R. Civ. App. P. 142.01.

Dated: August 18, 2020

BY THE COURT:

Thir Spine Dillew

Lorie S. Gildea Chief Justice

THISSEN, J., took no part in the consideration or decision of this case.

# EXHIBIT E TO THE DECLARATION OF JASON MARISAM

Appellate Case: 20-3139 Page: 71 Date Filed: 10/20/2020 Entry ID: 4967314

(ORDER LIST: 591 U.S.)

THURSDAY, AUGUST 13, 2020

ORDER IN PENDING CASE

20A28 REPUBLICAN NAT. COMM., ET AL. V. COMMON CAUSE RI, ET AL.

The application for stay presented to Justice Breyer and by him referred to the Court is denied. Unlike Merrill v. People First of Alabama, 591 U. S. \_\_\_\_ (2020), and other similar cases where a State defends its own law, here the state election officials support the challenged decree, and no state official has expressed opposition. Under these circumstances, the applicants lack a cognizable interest in the State's ability to "enforce its duly enacted" laws. Abbott v. Perez, 585 U. S. \_\_\_, \_\_\_ n. 17 (2018). The status quo is one in which the challenged requirement has not been in effect, given the rules used in Rhode Island's last election, and many Rhode Island voters may well hold that belief.

Justice Thomas, Justice Alito, and Justice Gorsuch would grant the application.

Appellate Case: 20-3139 Page: 72 Date Filed: 10/20/2020 Entry ID: 4967314

# EXHIBIT B TO DEFENDANT-APPELLEE'S RESPONSE TO EMERGENCY MOTION FOR INJUNCTION PENDING APPEAL

Appellate Case: 20-3139 Page: 73 Date Filed: 10/20/2020 Entry ID: 4967314

## UNITED STATES DISTRICT COURT DISTRICT OF MINNESOTA

James Carson & Eric Lucero,

Civil No. 0:20-cv-02030-NEB-TNL

Plaintiffs,

v.

#### **DECLARATION OF DAVID MAEDA**

Steve Simon, in his official capacity as Secretary of State of Minnesota,

Defendant.

- I, David Maeda, hereby declare the following under penalty of perjury:
- 1. I am Director of Elections for the Office of the Minnesota Secretary of State.
- 2. Attached as Exhibit A is a true and correct copy of an email, with attachments, that the Office sent to county election administrators on August 28, 2020. The attachments include the instructions prepared by the Office for absentee ballots for the November 3, 2020 general election.
- 3. Voting for the November 3, 2020 general election began on September 18. Voters began receiving their absentee ballots and ballot instructions on September 18.
- 4. To date, more than 1 million Minnesota voters have requested absentee ballots for the November 3, 2020 general election.

I declare under penalty of perjury that everything I have stated in this document is true and correct.

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Dated: September 29, 2020

/s/ David Maeda

DAVID MAEDA

Director of Elections

Office of Minnesota Secretary of State, Steve

Simon

# EXHIBIT A TO THE DECLARATION OF DAVID MAEDA

Appellate Case: 20-3139 Page: 76 Date Filed: 10/20/2020 Entry ID: 4967314

From: Hegg, Stella (OSS)

To: Department, Elections (OSS)

Subject: Updated State General Election AB-MB Voter Instructions, Ballot Packet Inserts & Suggestions for Envelopes

**Date:** Friday, August 28, 2020 4:29:39 PM

Attachments: Reg Voter Signature Envelope Sticker-Stamp Suggestion Sheet.docx

Return Envelopes Sticker-Stamp Suggestion Sheet.docx
AB Insert for Non-Registered Voters - 2020STG.docx
AB-MB Insert for Registered Voters - 2020STG.docx
AB Instructions Reg ThirdEnv-2020STG.pub
AB Instructions NR ThirdEnv-2020STG.pub
AB Instructions NR ThirdEnv-2020STG.pub
AB Instructions Reg ThirdEnv-2020STG.pdf

MB Instructions-2020STG.pub

AB Instructions UOCAVA ThirdEnv-2020STG.pdf
AB Instructions UOCAVA ThirdEnv-2020STG.pub

MB Instructions-2020STG.pdf

## Office of the Minnesota Secretary of State Elections Division

August 28, 2020

Dear County Election Administrators:

Please share this information with your municipalities that coordinate absentee voting and with your printing vendors.

Attached you will find publisher, word and/or .pdf versions of:

- 1. Registered Voter Signature Envelope Suggestions
- 2. Return Envelope Suggestions
- 3. Insert for AB NR Voter Ballot Packets
- 4. Insert for AB-MB Registered Voter Ballot Packets
- 5. Mail Ballot Packet Voter Instructions
- 6. UOCAVA "Paper" Ballot Packet Voter Instructions
- 7. Registered Absentee Ballot Packet Voter Instructions
- 8. Non-registered Absentee Ballot Packet Voter Instructions

These updated items were reviewed and proofed as best as possible. If there is something that was missed, please make the changes that you deem appropriate. We have sent "editable" versions of the documents to you for that purpose, if needed.

If you find something of "major importance," please contact me, right away, and I will fix the documents and resend, if needed.

These documents will be placed on the administration webpages early next week. We wanted to send them to you, today, so you can begin your work to prepare the many thousands of ballot packets as soon as possible.

As always, thank you for your patience and understanding as we update, and sometimes re-update ©, materials on a constant basis. We can "see" the magnitude of work that is ahead for all of you (the numbers in SVRS are historic, truly historic) for the rest of this year. We are in "awe" of each

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and every one of you! We're in this together and we are here to support you!

Best,

#### Stella Mary Hegg, MPA

Senior State Program Administrator

Office of Minnesota Secretary of State, Steve Simon

180 State Office Building, 100 Rev. Dr. Martin Luther King Jr. Blvd., St. Paul, MN 55155

Phone: 651-556-0646

E-mail: stella.hegg@state.mn.us

Website: https://www.sos.state.mn.us/

Appellate Case: 20-3139 Page: 78 Date Filed: 10/20/2020 Entry ID: 4967314

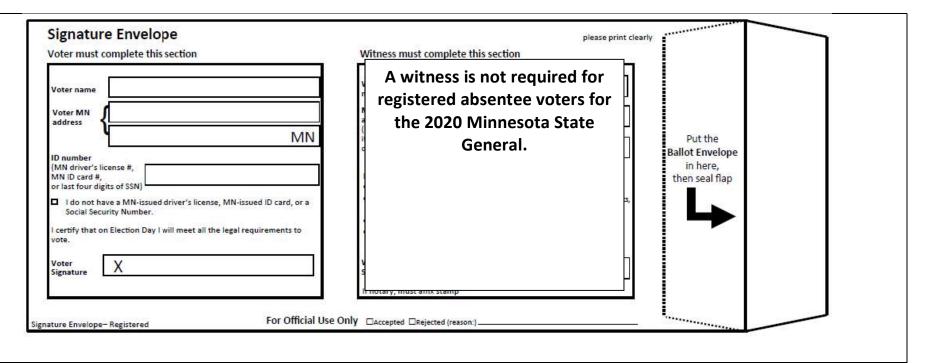
#### The "Witness" section of 2020 State General AB & MB registered voter signature envelopes

You have several options to alter the witness portion of the envelope for a registered voter, or inform a registered voter that the witness is not required, as the consent decree does not require a sticker/stamp to be placed over the signature envelope's "witness" section.

If 2020 state general "registered" AB-MB ballot packets have already been prepared and it is difficult to place stickers/stamp on the "registered" AB-MB signature envelopes, rest assured, it is not required. However, if you do not choose to place the sticker on the envelope over the witness signature area, you must either include with each ballot the insert that OSS has provided explaining that the witness signature is not required for the 2020 General Election or draw an "X" through the witness signature area on the envelope, or both.

If a sticker/stamp is <u>not</u> placed on the "registered" AB-MB signature envelope, make sure that the ballot board members are well-trained and overly-reminded that missing witness information and signature is not a reason to reject a registered voter's AB or MB returned, voted ballot.

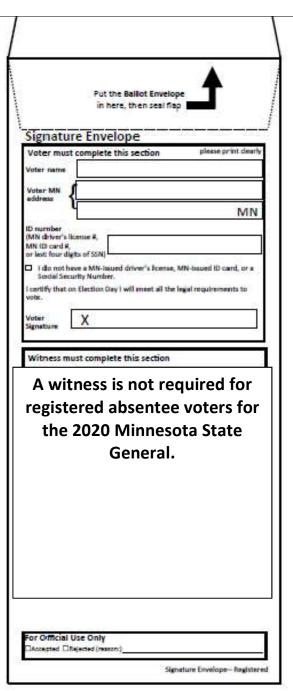
Here are our suggestions:



#### Signature Envelope-Registered (horizontal version)

- A sticker or stamp that is 4 x 4" should cover the Witness section.
- It should state:

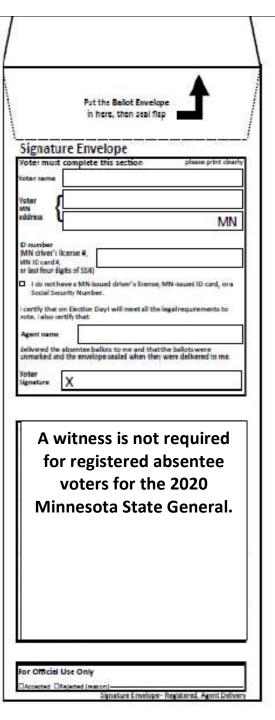
A witness is not required for registered absentee voters for the 2020 Minnesota State General.



#### **Signature Envelope-Registered**

- A sticker or stamp that is 4 x 4" should cover the Witness section.
- It should state:

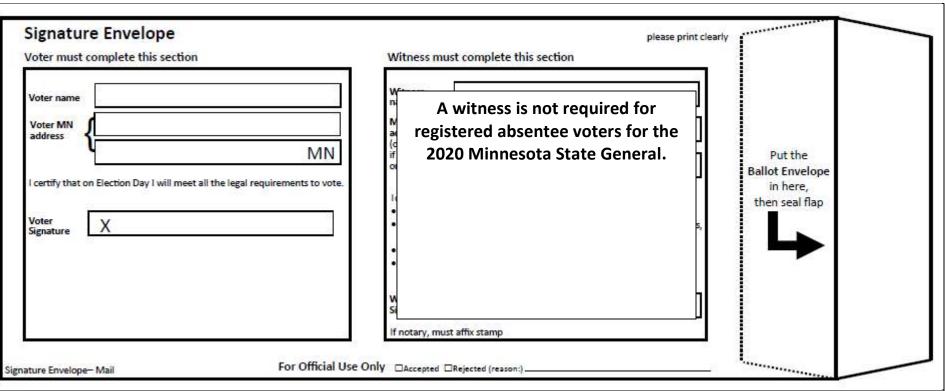
A witness is not required for registered absentee voters for the 2020 Minnesota State General.



#### **Signature Envelope-Registered-Agent Delivery**

- A sticker or stamp that is 4 x 4" should cover the Witness section.
- It should state:

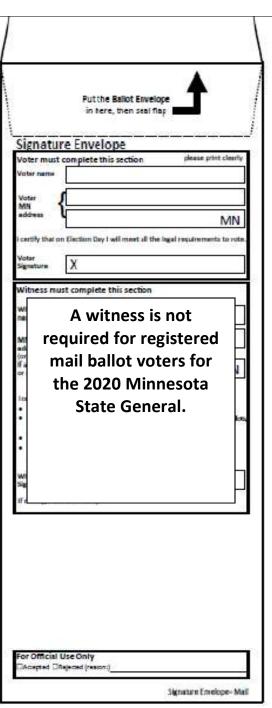
A witness is not required for registered absentee voters for the 2020 Minnesota State General.



#### Signature Envelope-Mail Ballot (horizontal version)

- A sticker or stamp that is 4 x 4" should cover the Witness section.
- It should state:

A witness is not required for registered mail ballot voters for the 2020 Minnesota State General.



#### Signature Envelope-Mail Ballot (horizontal version)

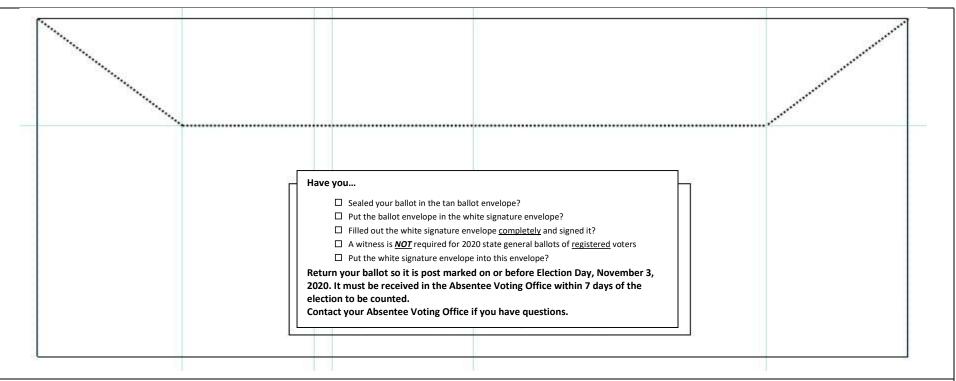
- A sticker or stamp that is 4 x 4" should cover the Witness section.
- It should state:

A witness is not required for registered mail ballot voters for the 2020 Minnesota State General.

# The 2020 State General AB & MB Return Envelopes

If you are able to order new return envelopes just for this general election, we have provided the language that we suggest below for the printers.

If you do not wish to order new envelopes, you may place a sticker over the Return Envelope instructions with the language that we suggest below.



#### **Return Envelope-Registered**

- A sticker that is 5 x 2" should cover the checklist, if you choose to use a sticker.
- The checklist should state:

#### Have you...

- ☐ Sealed your ballot in the tan ballot envelope?
- ☐ Put the ballot envelope in the white signature envelope?
- ☐ Filled out the white signature envelope <u>completely</u> and signed it?
- ☐ A witness is **NOT** required for 2020 state general ballots of <u>registered</u> voters
- ☐ Put the white signature envelope into this envelope?

Return your ballot so it is post marked on or before Election Day, November 3, 2020. It must be received in your Absentee Voting Office within 7 days of the election to be counted. Contact your Absentee Voting Office if you have questions.

	Have you	
	☐ Sealed your ballot in the tan ballot envelope?	er er
	☐ Put the ballot envelope and your voter registration application in the white signature envelope?	
	☐ Filled out the white signature envelope <u>completely</u> and signed it?	
	☐ Asked your witness to complete their section and sign their name? A witness is required for	
	non-registered voters for the 2020 state general election.	
	☐ Put the white signature envelope into this envelope?	
	Return your ballot so it is post marked on or before Election Day, November 3, 2020. It must be received in the Absentee Voting Office within 7 days of the election to be counted.  Contact your Absentee Voting Office if you have questions.	
turn Envelope-Non-Regis		
<ul> <li>A sticker that is 5 x 2</li> </ul>	2" should cover the checklist, if you choose to use a sticker.	
<ul><li>It should state:</li></ul>		
e you		
☐ Sealed your ballot in the tan	ballot envelope?	
· ·	your voter registration application in the white signature envelope?	
	e envelope <u>completely</u> and signed it?	
=	lete their section and sign their name? A witness is required for	

- Asked your witness to complete their section and sign their name? A witness is required for non-registered voters for the 2020 state general election.
- ☐ Put the white signature envelope into this envelope?

Return your ballot so it is post marked on or before Election Day, November 3, 2020. It must be received in the Absentee Voting Office within 7 days of the election to be counted. Contact your Absentee Voting Office if you have questions.

Contact your Absentee Voting Office if you have questions.

	Have you  Sealed your ballot in the tan ballot envelope?  Put the ballot envelope in the white signature envelope?  Filled out the white signature envelope completely and signed it?  Put the white signature envelope into this envelope?  Return your ballot so it is post marked on or before Election Day, November 3, 2020. It must be received in the Absentee Voting Office within 7 days of the election to be counted.  Contact your Absentee Voting Office if you have questions.	
		<u>,</u>
<ul> <li>Return Envelope-Mailed Out, Pa</li> <li>A sticker that is 5 x 2" sho</li> <li>It should state:</li> <li>Have you</li> </ul>	per UOCAVA Ballot Packets ould cover the checklist, if you choose to use a sticker.	
☐ Sealed your ballot in the tan ball ☐ Put the ballot envelope in the w ☐ Filled out the white signature en ☐ Put the white signature envelop	hite signature envelope? velope <u>completely</u> and signed it?	

Return your ballot so it is post marked on or before Election Day, November 3, 2020. It must be received in the Absentee Voting Office within 7 days of the election to be counted.

# For the 2020 Minnesota State General Election being held November 3, 2020!!

## **Non-Registered** Minnesota Voters

Because you are a non-registered voter in the State of Minnesota when this ballot packet was sent to you, you <u>are</u> required to have a witness. If you have questions about your registration status, please contact your county elections office.

Your returned ballot must be postmarked

on or before Election Day (November 3, 2020)

& received by your Absentee Voting Office within 7 days of the election.... to be counted.

If you do not want to use the U.S. post office or private delivery service to return your voted ballot, please contact your Absentee Voting Office (listed on return envelope) and inquire as to "drop off" locations.

"Drop Off" locations, dates & hours may vary.

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# For the 2020 Minnesota State General Election being held November 3, 2020!!

## **Registered** Minnesota Voters

Because you are a registered voter in the State of Minnesota when this ballot packet was sent to you, you are <u>NOT</u> required to have a witness for the 2020 Minnesota State General Elections returned ballots.

Your returned ballot must be postmarked

on or before Election Day (November 3, 2020)

& received by your Absentee Voting Office within 7 days of the election.... to be counted.

If you do not want to use the U.S. post office or private delivery service to return your voted ballot, please contact your Absentee Voting Office (listed on return envelope) and inquire as to "drop off" locations.

"Drop Off" locations, dates & hours may vary.

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CASE 0:20-cv-02030-NEB-TNL Doc. 37-1 Filed 09/29/20 Page 14 of 21

# Instructions for the 2020 Minnesota State General Election

#### How to vote by absentee ballot

# for <u>REGISTERED</u> 2020 state general election voters (November 3, 2020)

- Ballot\*
- Tan ballot envelope\*

#### You will need:

- White signature envelope\*
- Larger white return envelope\*
- \*If any of these items are missing, please contact your local election official.
- Pen with black or blue ink
- Your ID number

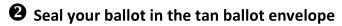
Minnesota driver's license number, Minnesota ID card number, or the last four digits of your Social Security number.

See below if you do not have any of these numbers.

# Vote!

- Follow the instructions on the ballot.
- Do <u>not</u> write your name or ID number anywhere on the ballot.
- Do not vote for more candidates than allowed. If you do, your votes for that office will not

See the other side if you make a mistake on your ballot.



Do not write on this envelope.





- If there is no label, print your name and Minnesota address.
- Print your Minnesota driver's license number, Minnesota ID card number, or the last four digits of your Social Security number.

Be sure to use one of same numbers that you provided on your absentee ballot application. If you do not have any of these numbers, check the box.

- Read and sign the oath.
- NOTE: A witness is not needed for the absentee ballots of registered voters in the Nov. 3, 2020, State General Election
- Seal the envelope.



- Put the signature envelope into the larger white return envelope to protect your private information from view
  - Seal the envelope.
- **6** Return your ballot Postmarked <u>by Election Day (Nov. 3) to the address on the</u> return envelope. Ballots may not be delivered to your polling place.

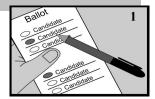
You have three options:

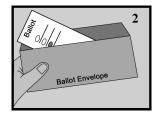
Send it so it is postmarked by Election Day, using U.S. mail or a package delivery service, and is returned to the absentee voting office within 7 days of the election.

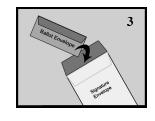
See Insert for Important Details regarding Returning your Voted Ballot

- The U.S. Post Office recommends allowing for six (6) business days for mail delivery within the U.S.
- Deliver it in person before election day or by 3:00 p.m. on Election Day, or
- Ask someone to deliver it by 3:00 p.m. on Election Day.

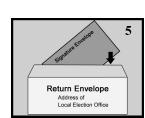
See the other side for special instructions if you have a disability.





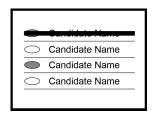






#### Correcting a mistake

- If time allows, ask for a new ballot from your election office. Contact your election office at [e-mail] or [phone number], or
- Completely cross out the name of the candidate you accidentally marked and then mark your ballot for the candidate you prefer (do <u>not</u> initial your corrections).



#### If you have a disability:

If you have a disability or cannot mark your ballot, anyone may assist you by marking your ballot at your direction, assembling the materials, and filling out the forms for you.

When signing the envelope, Minnesota law says you may:

- Sign the return envelope yourself, or
- Make your mark, or
- Ask someone to sign for you in your presence. Have the person sign their own name as well.
- If you have adopted the use of a signature stamp for all purposes of signature, you may use your signature stamp or ask someone to use your signature stamp in your presence.

Minnesota Statutes, section 645.44, subdivision 14

Please note: Voting is not covered by power of attorney. A person with power of attorney may only sign for you in your presence, as outlined above.

Confidentiality Notice: If your ballot envelope is accepted, your name and address is available to the public upon that acceptance, when used for elections, political and law enforcement purposes. Otherwise, the data you supply on your signature envelope is restricted to election officials until 8:00 p.m. on Election Day. After that time, your envelope and the data on it, other than your identification number, are public information. Your ID number is required to ensure that the ballot is returned by the same voter who applied for it. You may refuse to provide it, but doing so may lead your absentee ballot to be rejected and will prevent you from checking on the status of your absentee ballot online.

Appellate Case: 20-3139 Page: 90 Date Filed: 10/20/2020 Entry ID: 4967314

# Instruction's for the 2020 Minnesota State General Election

#### How to vote by absentee ballot for NON-REGISTERED voters in November 3rd state election

#### You will need:

You are required to have a witness because you are not an active, registered MN voter.

If you have questions about your registration status, please contact your county elections office

- Ballot\*
- Tan ballot envelope\*
- Voter registration application\*
- White signature envelope\*
- Larger white return envelope\*
- Pen with black ink
- Minnesota driver's license with your address or other authorized proof of where you live. See other side for a list of options
- \*If any of these items are missing, please contact your local election official.
- Your ID number Minnesota driver's license number, Minnesota ID card number, or the last four digits of your Social Security number. See below if you do not have any of these numbers.
- Witness (You <u>must</u> have a witness for this ballot) Anyone registered to vote in Minnesota, including your spouse or relative, or a notary public, or a person with the authority to administer oaths

Important: You must submit the voter registration application with your ballot (in the white signature envelope) for your vote to be counted.

- Fill out the voter registration application and sign it
  - Show your witness (you must have a witness) your driver's license or other authorized proof of where you live. See the other side for a list of options.
- Vote!
  - Show your witness your blank ballot, then mark your votes in private.
  - Follow the instructions on the ballot.
  - Do not write your name or ID number anywhere on the ballot.
  - Do not vote for more candidates than allowed. If you do, your votes for that office will not count. See the other side if you make a mistake on your ballot.
- **3** Seal your ballot in the tan ballot envelope
  - Do not write on this envelope.
- Put the tan ballot envelope and the voter registration application in the white signature envelope
- **5** Fill out the white signature envelope <u>completely</u>
  - If there is no label, print your name and Minnesota address.
  - Print your Minnesota driver's license number, Minnesota ID card number, or the last four digits of your Social Security number.

Be sure to use one of the same numbers that you provided on your absentee ballot application. If you do not have any of these numbers, check the box.

- Read and sign the oath.
- Ask your witness to print their name and Minnesota street address, including city (not a P.O. Box), indicate which proof you showed them, and sign their name.

If your witness is an official or notary, they must print their title instead of an address. Notaries must also affix their stamp.

- Seal the envelope.
- **6** Put the signature envelope into the larger white return envelope to protect your private information from view
  - Seal the envelope.
- Return your ballot by Election Day (Nov. 3) to the address on the return envelope Ballots may not be delivered to your polling place.

You have three options:

•Send it so it is postmarked on or before Election Day, using U.S. mail or a package delivery service. Your ballot must be returned within 7 days of the election

(SEE INSERT FOR IMPORTANT DETAILS ABOUT THIS),

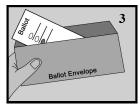
- •The U.S. Post Office recommends allowing for six (6) business days for your ballot to be delivered.
- Deliver it in person before election day or by 3:00 p.m. on Election Day, or

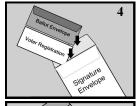


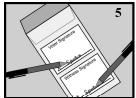
Date Filed: 10/20/2020 Entry ID: 49673444

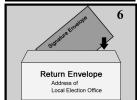




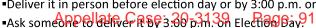












#### Options for proof of where you live

A valid Minnesota driver's license, Minnesota ID card, or permit with your current address or

A photo ID that does not have your current address along with a document that has your current address

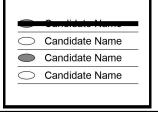
- Eligible photo IDs: Minnesota or another state's driver's license, learner's permit, or ID card; U.S. passport; U.S. military or veteran ID card; Minnesota high school/college/university ID card; or tribal ID card with your signature, from a tribe recognized by the Bureau of Indian Affairs (BIA).
- Eligible documents with your current address: an original bill, including account statements and start-ofservice notifications, dated within 30 days before or with a due date 30 days before or after the election; a current student fee statement; or a residential lease if valid through election day. Eligible bills are: gas, electric, solid waste, water, sewer, phone, cell phone, television, Internet provider, credit card, or banking services; or bills for rent or mortgage payments.

#### or one of the following:

- A yellow receipt for a valid Minnesota driver's license, Minnesota ID card, or permit with your current address
- Vouching: the signature of a registered voter who lives in your precinct and personally knows that you live in the precinct. If your witness is registered to vote in this precinct, your witness may vouch for you. This person must complete and sign the voucher form on the back of the voter registration application.
- A tribal ID card with your name, address, signature, and picture, from a tribe recognized by the BIA
- A "Notice of Late Registration" if you received one from the county auditor or city clerk
- If you have moved within your precinct or changed your name, a current registration in the precinct
- Vouching for residents of certain residential facilities: the signature of an employee of your residential facility, including nursing homes, group homes, battered women's shelters, homeless shelters, etc. If you are not sure if the residential facility where you live is eligible, call your local election official. The employee must complete and sign the voucher form on the back of the voter registration application.

#### **Correcting a mistake**

- If time allows, ask for a new ballot from your election office. Contact your election office at [e-mail] or [phone number], or
- Completely cross out the name of the candidate you accidentally marked and then mark your ballot for the candidate you prefer (do not initial your corrections).



#### If you have a disability:

If you have a disability or cannot mark your ballot, your witness may assist you by marking your ballot at your direction, assembling the materials, and filling out the forms for you.

When signing the envelope, Minnesota law says you may:

- Sign the return envelope yourself, or
- Make your mark, or
- Ask your witness to sign for you in your presence. (Have the witness sign their own name as well.)
- If you have adopted the use of a signature stamp for all purposes of signature, you may use your signature stamp or ask your witness to use your signature stamp in your presence.

Minnesota Statutes, section 645.44, subdivision 14

Please note: Voting is not covered by power of attorney. A person with power of attorney may only sign for you in your presence, as outlined above.

Confidentiality Notice: If your ballot envelope is accepted, your name and address is available to the public upon that acceptance, when used for elections, political and law enforcement purposes. Otherwise, the data you supply on your signature envelope is restricted to election officials until 8:00 p.m. on Election Day. After that time, your envelope and the data on it, other than your identification number, are public information. Your ID number is required to ensure that the ballot is returned by the same voter who applied for it. You may refuse to provide it, but doing so may lead your absentee ballot to be rejected and will prevent you from checking on the status of your absentee ballot online.

> Appellate Case: 20-3139 Page: 92 Date Filed: 10/20/2020 Entry ID: 4967314

# Instructions for the 2020 State General Elections Only

How to vote by mail ballot—2020 state general election being held November 3, 2020

Ballot\*

You will need:

- Tan ballot envelope\*
- White signature envelope\*
- Pen with black or blue ink

\*If any of these items are missing, please contact your local election official.

# • Vote!

- Follow the instructions on the ballot.
- Do <u>not</u> write your name or ID number anywhere on the ballot.
- Do <u>not</u> vote for more candidates than allowed.

If you do, your votes for that office will not count.

See the other side if you make a mistake on your ballot.

- 2 Seal your ballot in the tan ballot envelope
  - Do not write on this envelope.
- Put the tan ballot envelope into the white signature envelope
- 4 Fill out the white signature envelope completely
  - If there is no label, print your name and Minnesota address.
  - Read and sign the oath of the voter.
  - NOTE: A witness is <u>not</u> required for registered mail ballot voters for the 2020 state general election
  - Seal the signature envelope.

Put the signature envelope into the larger white return envelope to protect your private information from view and seal the envelope.

**6** Return your ballot

Postmarked <u>by Election Day (Nov. 3) to the address on the return envelope</u>

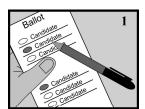
You have three options:

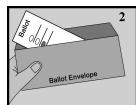
 Send it postmarked <u>on or before</u> Election Day, using U.S. mail or a package delivery service (SEE INSERT FOR IMPORTANT DETAILS ABOUT THIS),

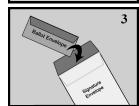
The U.S. Post Office recommends to allow six (6) business days for delivery.

- Deliver it in person to your mail ballot office by 8:00 p.m. on Election Day, or
- Ask someone to deliver it to your mail ballot office by 8:00 p.m. on Election Day.

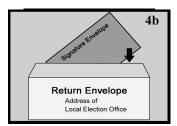
If you have questions, please call your mail ballot office at: (...) ...-.... . See other side for special instructions if you have a disability









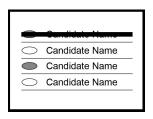




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#### **Correcting a mistake**

- If time allows, ask for a new ballot from your mail ballot office at [e-mail] or [phone number],
   or
- Completely cross out the name of the candidate you accidentally marked and then mark your ballot for the candidate you prefer (do <u>not</u> initial your corrections).



#### If you have a disability:

If you have a disability or cannot mark your ballot, anyone may assist you by marking your ballot at your direction, assembling the materials and filling out the forms for you.

When signing the envelope, Minnesota law says you may:

- Sign the return envelope yourself, or
- Make your mark, or
- Ask someone to sign for you in your presence. Have the person sign their own name as well.
- If you have adopted the use of a signature stamp for all purposes of signature, you may use your signature stamp or ask someone to use your signature stamp in your presence.

Minnesota Statutes, section 645.44, subdivision 14

Please note: Voting is not covered by power of attorney. A person with power of attorney may only sign for you in your presence, as outlined above.

#### **Track your Mail Ballot**

You may "track your ballot" at www.mnvotes.org. You will need your name, residential address, and either your MN driver's license/ID number or the last four digits of your social security number. If you are not able to use the online "track your ballot," please contact your mail ballot office and they will assist you. [Mail ballot office name and phone number]

**Confidentiality Notice:** The data you supply on your signature envelope are public information when used for elections, political or law enforcement purposes as part of a public information list.

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# Instructions for the 2020 Minnesota General Elections

# How to vote by absentee ballot

# for military and overseas voters for the 2020 state general election (November 3, 2020)

#### You will need:

- Ballot\*
- Tan ballot envelope\*
- White signature envelope\*
- Larger white return envelope\*
- \*If any of these items are missing, please contact your local election official.
- Pen with black or blue ink
- Your ID number
   Minnesota driver's license number,
   Minnesota ID card number, U.S. passport number,
   or the last four digits of your Social Security number.
   See below if you do not have any of these numbers.

#### 1 Vote!

- Mark your votes in private.
- Follow the instructions on the ballot.
- Do not write your name or ID number anywhere on the ballot.
- Do <u>not</u> vote for more candidates than allowed. If you do, your votes for that office will not count.

See the other side if you make a mistake on your ballot.

# 2 Seal your ballot in the tan ballot envelope

- Do not write on this envelope.
- **3** Put the tan ballot envelope into the white signature envelope
- 4 Fill out the white signature envelope completely
  - If there is no label, print your name and Minnesota address (present or last).
  - Print your e-mail address and phone number (optional).
  - Print your Minnesota driver's license number, Minnesota ID card number, passport number or the last four digits of your Social Security number.

Be sure to use one of the same numbers that you provided on your absentee ballot application.

If you do not have access to any of these documents, leave this space blank.

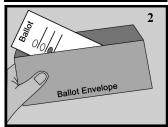
- Read and sign the oath.
- Seal the envelope.
- **5** Put the signature envelope into the larger white return envelope to protect your private information from view and seal the envelope.
- Return your ballot <u>by Election Day (Nov. 3) to the address on the return en-</u>
  - Send it postmarked <u>on or before</u> Election Day, using mail, a package delivery service, or the diplomatic pouch at a U.S. embassy or consulate. SEE IMPORTANT NOTE ON OTHER SIDE
  - Postage is not required if the postal permit is on the envelope and it is sent using the U.S. mail, U.S. military mail, or the diplomatic pouch. Postage may be required if you use a foreign mail service or a package delivery service.

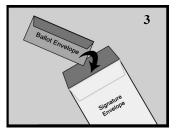
See the other side for special instructions if you have a disability.

To check the status of your absentee ballot, visit http://www.mnvotes.org.

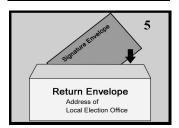
If you have any questions, contact your county elections office at [insert e-mail address] or













IMPORTANT NOTE: Your returned ballot must be postmarked on or before Election Day (November 3, 2020) and received by your county elections office within 7 days of the election.

Foreign Countries & Mail Delivery to the U.S.:

Check with a foreign country's postal officials regarding delivery of your voted ballot to the United States; to ensure it is returned within the 46-day absentee voting period.

#### Mail Delivery within the U.S.:

Once the voted ballot is returned to the United States, the U.S. Post Office recommends allowing for six (6) business days for delivery of your voted ballot to the county elections office.

#### **Correcting a mistake**

- If time allows, ask for a new ballot from your election office. Contact your election office at [e-mail] or [phone number], or
- Completely cross out the name of the candidate you accidentally marked and then mark your ballot for the candidate you prefer (do <u>not</u> initial your corrections).

# Candidate Name Candidate Name Candidate Name Candidate Name

#### If you have a disability:

If you have a disability or cannot mark your ballot, another person may assist you by marking your ballot at your direction, assembling the materials, and filling in the forms for you.

When signing the envelope, Minnesota law says you may:

- Sign the return envelope yourself, or
- Make your mark, or
- Ask another person to sign for you in your presence. (Have this person sign their own name as well.)
- If you have adopted the use of a signature stamp for all purposes of signature, you may use your signature stamp or ask another person to use your signature stamp in your presence.

Minnesota Statutes, section 645.44, subdivision 14

Confidentiality Notice: If your ballot envelope is accepted, your name and address is available to the public upon that acceptance, when used for elections, political and law enforcement purposes. Otherwise, the data you supply on your signature envelope is restricted to election officials until 8:00 p.m. on Election Day. After that time, your envelope and the data on it, other than your identification number, are public information. Your ID number is required to ensure that the ballot is returned by the same voter who applied for it. You may refuse to provide it, but doing so may lead your absentee ballot to be rejected and will prevent you from checking on the status of your absentee ballot online.

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